Clinical Decision Support Tool for Parental Tobacco Treatment in Hospitalized Children

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Keywords

Clinical decision support, secondhand smoke exposure, tobacco, usability

Summary

Objectives: To create and evaluate the feasibility, acceptability, and usability of a clinical decision support (CDS) tool within the electronic health record (EHR) to help pediatricians provide smoking cessation counseling and treatment to parents of hospitalized children exposed to secondhand smoke (SHS).

Methods: Mixed method study of first-year pediatric residents on one inpatient unit. Residents received training in smoking cessation counseling, nicotine replacement therapy (NRT) prescribing, and use of a CDS tool to aid in this process. The tool, which alerted when a patient was identified as exposed to SHS based on the history taken on admission or during a prior encounter, had the following capabilities: adding SHS exposure to the patient's problem list; referral to Free Quitline through discharge instructions; and linking to a printable NRT prescription form. We measured feasibility by EHR utilization data. We measured acceptability and usability of the tool by administering questionnaires to residents.

Results: From June-August 2015, the alert triggered for 106 patients, and the tool was used for 52 (49%) patients. 41 (39%) patients had SHS exposure added to the problem list, 34 (32%) parents were referred to the Quitline through discharge instructions, and 15 (14%) parents were prescribed NRT. 10 out of 15 (67%) eligible pediatricians used the tool. All clinicians surveyed (9 out of 10) found the tool acceptable and rated its usability good to excellent (average System Usability Scale score was 85 out of 100, 95% CI, 76–93).

Conclusions: A non-interruptive CDS tool to help residents provide smoking cessation counseling in the hospital was feasible, acceptable, and usable. Future work will investigate impacts on patient outcomes.

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1. Background

Secondhand smoke (SHS) exposure is a significant public health problem with clear negative impacts on children's health, including increased risk of asthma exacerbation, hospitalization, and premature death [1–3]. While 17% of US adults smoke cigarettes [4], more than 40% of US children have evidence of SHS exposure [5]. Hospital-initiated tobacco control interventions promote smoking cessation in adults, especially when counseling is combined with nicotine replacement therapy (NRT) [6]. Parents who smoke are receptive to receiving smoking cessation interventions when their child is hospitalized [7]. Inpatient pediatric clinicians, however, rarely provide such treatments to parents who smoke [7, 8].

Pediatric-based smoking cessation interventions in a variety of care settings may be effective in helping parents quit, but barriers have prevented further adoption and sustainability of such practices [8–10]. Time, logistical issues, record-keeping challenges, scope of practice concerns, and lack of insurance reimbursement are cited as barriers to counseling and prescribing NRT [11]. To address these concerns, professional organizations have adopted policy statements and clinical guidelines that underscore the importance of all clinicians addressing tobacco smoke exposure, including recommendations that pediatricians provide counseling and treatment to adults who expose their children to SHS [11–13].

Electronic health records (EHRs) and clinical decision support (CDS) systems may improve the quality and standardization of clinical interventions for tobacco use [14]. CDS systems that support tobacco treatment for hospitalized smokers are feasible and accepted by adult clinicians [15]. In one pediatric primary care setting, use of EHR modifications and brief training to support smoking cessation treatment was associated with higher rates of counseling and quit-line referrals for parents who smoked [16]. The feasibility, acceptability, and usability of a parental tobacco treatment clinical decision support system in an inpatient pediatric setting has not been reported.

2. Objectives

Our objective was to create and evaluate the feasibility, acceptability, and usability of a CDS tool to help pediatricians provide smoking cessation counseling and treatment to parents who smoke whose children are hospitalized. At our institution, there was no systematic baseline approach to providing such treatment in the inpatient setting. There was no administrative imperative to address this issue; instead, this was an investigator-initiated project not aligned with any specific administrative priority. We hypothesized that implementing CDS would prompt pediatric residents to initiate smoking cessation treatment for parents who smoke.

3. Methods

3.1 Study Protocol

This is a mixed method study of first-year pediatric residents, incorporating focus groups, EHR utilization data, and surveys. The intervention, called the tobacco treatment CDS tool, was developed from April to May 2015. Once the intervention was developed, the single-armed, prospective portion of the study was conducted from June to August 2015.

3.2 Setting and Study Population

This study was conducted on one inpatient unit at The Children's Hospital of Philadelphia. The inpatient unit has 20 beds, and treats predominantly infant and toddler admissions. In Pennsylvania, approximately 21% of the adult population smokes cigarettes [17]. Eligible primary subjects included all first-year pediatric residents who rotated through the unit from May to August 2015. Five first-year residents rotate through the unit every month. All residents involved in the prospective study arm had just started their intern year. We excluded only those who declined to participate. There was no nursing involvement in the intervention, as we focused on clinicians.

3.3 Intervention

We created the parental tobacco treatment CDS tool using a usability framework approach [18]. This framework involves developing a tool, incorporating input from future users of that tool, followed by inspection of its use [19-21]. We included input from a diverse team comprised of research experts, clinical informatics experts, clinical content experts (all members of the study team), and end-users (first year pediatric residents, hereafter referred to as "clinicians") [22]. Additionally, we received feedback about the tool and workflow from various hospital committees, including the CDS Committee, Therapeutics Standards Committee, and Clinical Care Committee. We performed focus groups with end-users of the tool from May to June of 2015 to further inform development of the intervention. Questions focused on barriers to current practice and workable solutions to overcome these barriers and problems. End-users and informatics experts emphasized a CDS tool that fit within clinician workflows combined with simple, easy-to-follow treatment guidance steps.

The CDS tool itself was developed using an iterative process of design, testing, and revision. We used standard tools within the vendor system (Best Practice Advisory) as opposed to something completely de-novo. The content for prompts and actions was driven primarily by workflow analysis and CDS design principles [23, 24]. We carefully considered what information was needed at specific points in the workflow and what actions we expected the clinicians to take and built the tool accordingly. We also wanted to ensure that the tool was not too time-intensive, as clinicians are already busy with many other tasks in the EHR.

The final intervention was modeled on a comparable intervention developed for adult settings, adhering to evidence-based guidelines [15, 25]. At our children's hospital, we do not have an inpatient smoking cessation counseling program. Our goal was to use a CDS tool to facilitate a brief intervention targeted at parents that involved referral to the Pennsylvania Free Quitline combined with prescription of nicotine replacement therapy. Thus, the tobacco treatment CDS tool within the EHR (EpicCare®, Epic Sytems, Inc, Verona, WI, USA) included the following basic capabilities (see ► Figure 1: Main Screen for Parental Tobacco Treatment CDS Tool):

- 1. Appear for clinicians as a "Best Practice Advisory" when a pediatric patient was identified as exposed to secondhand smoke, as documented by a nurse or previous clinician in a separate, discrete field. The advisory was within the admission, rounding, and discharge workflows, and it was non-interruptive (meaning it could be bypassed without any action taken). The advisory appeared with the header "suggestion" and was green in color to further indicate its suggestive and proactive intention.
- 2. Prompt to ask the parent/caregiver (hereafter referred to as "parent") about smoking and interest
- 3. Add "Secondhand Smoke Exposure" to the patient's problem list in the EHR.
- 4. Add information to the discharge instructions, including referral to the Pennsylvania Free Quitline for parents to contact for additional assistance, directions on NRT use (if prescribed), and information to help maintain a smoke free home and car.
- 5. If the parent was present and interested in quitting:
- 6. Link to a NRT prescription form, for the gum or patch, in an electronically fillable pdf format, with dosing guidance (>Figure 2).
- 7. Link to document, within the "Secondhand Smoke Exposure" problem, if NRT was prescribed (► Figure 3).

To facilitate documentation compliance for smoking status, an additional non-interruptive alert was implemented such that if there were no documentation about a patient's smoking status or SHS exposure in the EHR, the clinician would be prompted to complete smoking status documentation. This alert would appear in the same areas of the record as the cessation tool. Completion of the tool was optional. The tool itself would continue to appear for clinicians throughout the hospitalization until it was officially used.

One month into the study period, changes in the EHR build based on feedback from this project led to the incorporation of the tool into the medication reconciliation workflow. As end-users emphasized the need for the tool to fit well within their patient care workflow, this feature was added to the intervention (>Figure 4). When clinicians would review patient medications, either during the admission or discharge workflow, the tool would notify the clinician the patient was exposed to SHS and prompt treatment for a parent smoker. The tool remained non-interruptive and completion was optional.

All first-year pediatric residents who rotated through the unit during the study period (n=15) received training, approximately 15–30 minutes in length. Training, which occurred in the clinical setting, addressed brief smoking cessation counseling (the "5 A's" model) [25], prescribing NRT (including relative contraindications to use), and use of the tool.

3.4 Outcomes

Feasibility

Feasibility of the tool was evaluated using EHR utilization data. Available information included the number of inpatient admissions during which a pediatric patient was identified as exposed to secondhand smoke, as well as completion of each part of the tool. Tool use was defined as completing any part of the tool (i.e., adding secondhand smoke exposure to the problem list, referring to the Quitline through the discharge instructions, or prescribing NRT). Information regarding where in the clinician's workflow the tool was used (i.e. during admission, hospitalization, or discharge) was tracked.

Acceptability and Usability

Pediatric clinician acceptability of the intervention, including suggestions for improvement, was measured using a 20-item questionnaire developed by the study team. Clinicians completed the questionnaire at the end of the study period. The questionnaire, which took approximately 5–10 minutes to complete, included items assessing advantages and disadvantages of the tool relative to current care, suggested improvements for the tool, as well as a 10-item computer System Usability Scale (SUS) [26, 27]. The SUS is a reliable, low-cost, and effective tool for assessing physician usability of and satisfaction with a clinical decision support tool [28]. The 10 statements on the SUS use a five-point Likert Scale to measure strength of agreement or disagreement with the statement; the final score ranges from 0 to 100, with high scores indicating increasing usability and satisfaction [29].

3.5 Statistical Analysis

Analyses were conducted by using Stata version 13.1 (Stata Corp, College Station, TX). Data are reported with means and proportions as appropriate. Categorical data were compared with a chi-square test. The Children's Hospital of Philadelphia Institutional Review Board approved this study. Clinicians provided written informed consent.

4. Results

During the 3-month study period (June-August 2015), 106 hospitalized patients were identified as exposed to secondhand smoke, and the tool was used for 52 (49%) parents of these patients. Child characteristics associated with tool use are shown in ▶ Table 1. Ten out of 15 (67%) eligible first-year pediatric residents used the tool at least once.

Feasibility

Of the 52 patients for whom the tool was used, 40 (38% of total) patients had Secondhand Smoke Exposure added to the problem list, 34 (32%) parents were referred to the Quitline through discharge instructions, and 15 (14%) parents were prescribed NRT. In total, 44 (42%) parents who smoked were referred for additional treatment and/or prescribed NRT. When the tool was used, it was most often accessed during the discharge workflow (see Figure 5 for tool use workflow and utilization). Documenting SHS exposure and providing information about the tobacco Quitline, but not NRT prescribing, significantly improved after the alert was incorporated into the medication reconciliation workflow (Table 2).

Acceptability and Usability

Nine out of 10 (90%) first-year pediatric residents who used the tool completed the written survey. All clinicians surveyed were satisfied with the tool and found it helpful. The average System Usability Scale score was 85 out of 100 (95% CI, 76–93, good to excellent range). Clinician responses to open-ended questions generated several clinically relevant themes. Advantages of the tool included reminder to counsel and prescribe NRT (100%), ease-of-use (89%), and access to an electronic NRT prescription (33%). Disadvantages included inability to re-access the tool once it was initially used (33%), for example if nicotine replacement scripts needed to be reprinted. Participating clinicians preferred more information on additional treatment options, such as additional behavioral counseling resources, to help guide care.

Additionally, residents who did not use the tool were approached by a member of the study team at the end of their rotation to identify barriers to tool use. All five of the residents offered that they were aware of the tool, but they did not directly take care of any patients exposed to secondhand smoke while rotating through the unit.

5. Discussion

We conducted this study to determine if clinical decision support would prompt pediatric clinicians to provide smoking cessation treatment for parents who smoke whose children are hospitalized. Our intervention resulted in a high level of pediatric clinician secondhand smoke exposure treatment. The majority of pediatric clinicians used the tool. More than 40% of parents who smoked were referred to the Quitline and/or prescribed nicotine replacement therapy.

Our adherence to informatics consensus guidelines in clinical decision support system development helped contribute to the creation of a tool that appears feasible, acceptable, and usable. Guidelines emphasize usability as a key component of safe and effective health information technology [30]. With usability in mind, we ensured that the tobacco treatment tool both complemented doctor-patient/family communication around secondhand smoke exposure and tobacco treatment [31] and fit within clinical workflows [32, 33]. The impact that incorporation of the tool into the medication reconciliation process had on subsequent tool use exemplifies this point. In all, these approaches ensured the tool met the standards of effective CDS systems: appear at the point of care, offer a specific recommendation, and then enable compliance with that recommendation [30, 34]

Our study has several limitations. First, this is a single-armed feasibility study of a small group of first-year pediatric residents on one pediatric inpatient unit. We did not have a comparison group to know the rates of smoking cessation counseling and treatment offered by clinicians who did not use the tool; however, these rates are likely low as there was no systematic approach to providing treatment to parents who smoke prior to the intervention. Second, since use of the CDS tool followed brief smoking cessation treatment training, it is unclear if training alone may have led to similar rates of treatment, though education alone rarely changes behavior. Third, although the approach was associated with high rates of referral and/or direct treatment, further study is needed to determine how results will generalize to other pediatric hospital settings and clinicians. The tool was piloted on a general pediatrics unit; it may not easily translate to other types of settings, even within the same hospital. Fourth, we did not have information on admission or discharge diagnosis, which also may affect generalizability of the intervention. Fifth, our feasibility study involved an intervention targeted on the initial conversation with parents around smoking cessation. While clinician advice has a small effect on decreasing tobacco use, leading to cessation in approximately 3-6% of patients, [35] future work could combine CDS systems with more intensive counseling programs, which have been shown to be more clinically effective in adults [6]. Finally, we evaluated process measures of referral and treatment as the outcomes of this study. We did not survey parents postdischarge regarding whether they quit and did not use biological samples to confirm parent smoker quit rates.

6. Conclusion

A non-interruptive CDS tool to help inpatient pediatric clinicians provide smoking cessation treatment was feasible, acceptable, and usable. More than 40% of parents who smoked were referred for additional treatment and/or prescribed nicotine replacement therapy. These promising results suggest that a randomized trial of this approach, including varied inpatient settings and sites and with additional measures of effectiveness such as biologically confirmed parent quit rates, is warranted.

Clinical Relevance Statement

This study addresses an important knowledge gap, evaluating the role of health information technology in increasing pediatric clinician engagement in helping parents quit smoking for inpatients. This study demonstrated the feasibility, acceptability and usability of a clinical decision support tool within the electronic health record to help inpatient pediatricians provide smoking cessation treatment to parents who smoke whose children are hospitalized. Given the burden of tobacco smoke on children's health, this intervention is a promising model for facilitating pediatrician support of tobacco cessation in inpatient settings.

Conflict of Interest

The authors declare that they have no conflicts of interest in the research.

Human Subjects Protections

The study was performed in compliance with the World Medical Association Declaration of Helsinki on Ethical Principles for Medical Research Involving Human Subjects and was reviewed by The Children's Hospital of Philadelphia Institutional Review Board.

Acknowledgments

None



Fig. 1 Main Screen for Parental Tobacco Treatment CDS Tool

Name	Date	
Address		
Check product and dose: Nicotine Gum 2mg 4mg Chew 1 piece every 1-2 hours Dispense 2 months supply Nicotine Patch 14mg 21mg	Dosing Recommendation: 1st cigarette >30 minutes after waking: 2mg 1st cigarette ≤30 minutes after waking: 4mg Dosing Recommendation: <10 cigarettes/day: 14mg >10 cigarettes/day: 21mg	
Apply 1 patch for 16-24 hours ea Dispense 2 months supply Refill 1 2 3	ch day	
Provider Name Provider Signature	Lic#	

Fig. 2 Nicotine Replacement Therapy Prescription Link



Fig. 3 Parental Tobacco Treatment CDS Tool: adding information to problem list



Fig. 4 Tool Incorporation into Medication Reconciliation Workflow

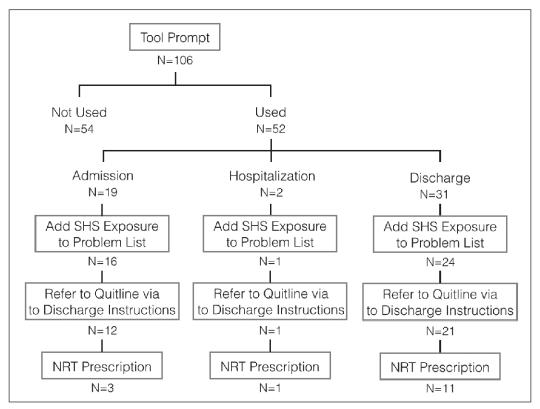


Fig. 5 Parental Tobacco Treatment CDS Tool Use Workflow and Utilization. The parental tobacco treatment CDS tool prompted clinicians in the inpatient setting to counsel and provide treatment to parents who smoke whose children were hospitalized on one inpatient unit (n=106). Boxes in the above figure represent actions taken by clinicians within the tool. All actions are optional; clinicians could ignore the tool completely. For clinicians who used the tool, information was available on where in their workflow they accessed the tool (i.e., during the admission, hospitalization, or discharge workflow). Clinicians could select an action individually without necessarily having to complete another action (e.g., could complete the NRT prescription with or without referring parent to Quitline). Numbers at branch points represent the number of patients/parents for which the action occurred.

	Tool Used N=52 (%)	Tool Not Used N=54 (%)	P value*
Age (years)			
<1	26 (50)	28 (52)	0.98
1–5	23 (44)	23 (43)	
>5	3 (6)	3 (6)	
Gender			
Female	23 (44)	21 (39)	0.57
Male	29 (56)	33 (61)	

 Table 1
 Child Characteristics

Table 2 Tobacco Treatment Tool Use Before and After Medication Reconciliation Incorporation

	Pre-Incorporation N=43 (%)	Post-Incorporation N=63 (%)	P value*
Added SHS to Problem List	5 (12)	36 (57)	< 0.001
Referred to Quitline via discharge instructions	4 (9)	30 (48)	<0.001
PrescribedNRT	7 (16)	8 (13)	0.6

^{*}via chi square analysis

^{*}via chi square analysis

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