

Enhancing workflow-nets with data for trace completion

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Abstract. The growing adoption of IT-systems for modeling and executing (business) processes or services has thrust the scientific investigation towards techniques and tools which support more complex forms of process analysis. Many of them, such as conformance checking, process alignment, mining and enhancement, rely on *complete* observation of past (tracked and logged) executions. In many real cases, however, the lack of human or IT-support on all the steps of process execution, as well as information hiding and abstraction of model and data, result in incomplete log information of both data and activities. This paper tackles the issue of automatically repairing traces with missing information by notably considering not only activities but also data manipulated by them. Our technique recasts such a problem in a reachability problem and provides an encoding in an action language which allows to virtually use any state-of-the-art planning to return solutions.

1 Introduction

The use of IT systems for supporting business activities has brought to a large diffusion of *process mining* techniques and tools that offer business analysts the possibility to observe the current process execution, identify deviations from the model, perform individual and aggregated analysis on current and past executions. According to the process mining manifesto, all these techniques and tools can be grouped in three basic types: process discovery, conformance checking and process enhancement (see Figure 1), and require in input an *event log* and, for conformance checking and enhancement, a (*process*) *model*. A log, usually described in the IEEE standard XES format³, is a set of execution traces (or cases) each of which is an ordered sequence of events carrying a payload as a set of attribute-value pairs. Process models instead provide a description of the scenario at hand and can be constructed using one of the available Business Process Modeling Languages, such as BPMN, YAWL and DECLARE.

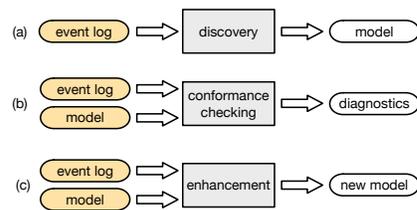


Fig. 1: The three types of process mining.

³ <http://www.xes-standard.org/>

Event logs are therefore a crucial ingredient to the accomplishment of process mining. Unfortunately, a number of difficulties may hamper the availability of event logs. Among these are partial event logs, where the execution traces may bring only **partial information** in terms of which process activities have been executed and what data or artefacts they produced. Thus repairing incomplete execution traces by reconstructing the missing entries becomes an important task to enable process mining in full, as noted in recent works such as [17,8]. While these works deserve a praise for having motivated the importance of trace repair and having provided some basic techniques for reconstructing missing entries using the knowledge captured in process models, they all focus on event logs (and process models) of limited expressiveness. In fact, they all provide techniques for the reconstruction of control flows, thus completely ignoring the data flow component. This is a serious limitation, given the growing practical and theoretical efforts to extend business process languages with the capability to model complex data objects, along with the traditional control flow perspective [6].

In this paper we show how to exploit state-of-the-art planning techniques to deal with the repair of data-aware event logs in the presence of imperative process models. Specifically we will focus on the well established Workflow Nets [20], a particular class of Petri nets that provides the formal foundations of several process models, of the YAWL language and have become one of the standard ways to model and analyze workflows. In particular we provide:

1. a modeling language DAW-net, an extension of the workflow nets with data formalism introduced in [18] so to be able to deal with even more expressive data (Section 3);
2. a recast of data aware trace repair as a reachability problem in DAW-net (Section C);
3. a sound and complete encoding of reachability in DAW-net in a planning problem so to be able to deal with trace repair using planning (Section 5).

The solution of the problem are all and only the repairs of the partial trace compliant with the DAW-net model. The advantage of using automated planning techniques is that we can exploit the underlying logic language to ensure that generated plans conform to the observed traces without resorting to ad hoc algorithms for the specific repair problem. The theoretical investigation presented in this work provides an important step forward towards the exploitation of mature planning techniques for the trace repair w.r.t. data-aware processes.

2 Preliminaries

2.1 The Workflow Nets modeling language

Petri Nets (PN) is a modeling language for the description of distributed systems that has widely been applied to the description and analysis of business processes [1]. The classical PN is a directed bipartite graph with two node types, called *places* and *transitions*, connected via directed arcs. Connections between two nodes of the same type are not allowed.

Definition 1 (Petri Net). *A Petri Net is a triple $\langle P, T, F \rangle$ where P is a set of places; T is a set of transitions; $F \subseteq (P \times T) \cup (T \times P)$ is the flow relation describing the arcs between places and transitions (and between transitions and places).*

The *preset* of a transition t is the set of its input places: $\bullet t = \{p \in P \mid (p, t) \in F\}$. The *postset* of t is the set of its output places: $t \bullet = \{p \in P \mid (t, p) \in F\}$. Definitions of pre- and postsets of places are analogous.

Places in a PN may contain a discrete number of marks called tokens. Any distribution of tokens over the places, formally represented by a total mapping $M : P \mapsto \mathbb{N}$, represents a configuration of the net called a *marking*. PNs come with a graphical notation where places are represented by means of circles, transitions by means of rectangles and tokens by means of full dots within places. Figure 2 depicts a PN with a marking $M(p_0) = 2$, $M(p_1) = 0$, $M(p_2) = 1$. The preset and postset of t are $\{p_0, p_1\}$ and $\{p_2\}$, respectively.

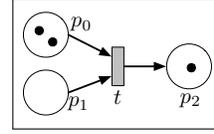


Fig. 2: A Petri Net.

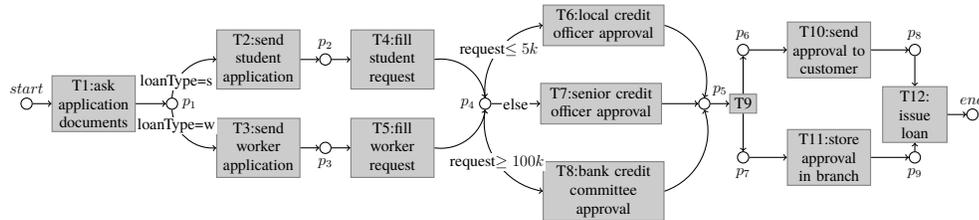


Fig. 3: A process as a Petri Net.

Process tasks are modeled in PNs as transitions while arcs and places constraint their ordering. For instance, the process in Figure 3⁴ exemplifies how PNs can be used to model parallel and mutually exclusive choices, typical of business processes: sequences $T2;T4-T3;T5$ and transitions $T6-T7-T8$ are indeed placed on mutually exclusive paths. Transitions $T10$ and $T11$ are instead placed on parallel paths. Finally, $T9$ is needed to prevent connections between nodes of the same type.

The expressivity of PNs exceeds, in the general case, what is needed to model business processes, which typically have a well-defined starting point and a well-defined ending point. This imposes syntactic restrictions on PNs, that result in the following definition of a workflow net (WF-net) [1].

Definition 2 (WF-net). A PN $\langle P, T, F \rangle$ is a WF-net if it has a single source place *start*, a single sink place *end*, and every place and every transition is on a path from *start* to *end*, i.e., for all $n \in P \cup T$, $(start, n) \in F^*$ and $(n, end) \in F^*$, where F^* is the reflexive transitive closure of F .

A marking in a WF-net represents the *workflow state* of a single case. The semantics of a PN/WF-net, and in particular the notion of *valid firing*, defines how transitions route tokens through the net so that they correspond to a process execution.

Definition 3 (Valid Firing). A firing of a transition $t \in T$ from M to M' is valid, in symbols $M \xrightarrow{t} M'$, iff

⁴ For the sake of simplicity we only focus here on the, so-called, happy path, that is the successful granting of the loan.

1. t is enabled in M , i.e., $\{p \in P \mid M(p) > 0\} \supseteq \bullet t$; and
2. the marking M' is such that for every $p \in P$:

$$M'(p) = \begin{cases} M(p) - 1 & \text{if } p \in \bullet t \setminus t \bullet \\ M(p) + 1 & \text{if } p \in t \bullet \setminus \bullet t \\ M(p) & \text{otherwise} \end{cases}$$

Condition 1. states that a transition is enabled if all its input places contain at least one token; 2. states that when t fires it consumes one token from each of its input places and produces one token in each of its output places.

A case of a WF-Net is a sequence of valid firings $M_0 \xrightarrow{t_1} M_1, M_1 \xrightarrow{t_2} M_2, \dots, M_{k-1} \xrightarrow{t_k} M_k$ where M_0 is the marking indicating that there is a single token in *start*.

Definition 4 (k -safeness). A marking of a PN is k -safe if the number of tokens in all places is at most k . A PN is k -safe if the initial marking is k -safe and the marking of all cases is k -safe.

From now on we concentrate on 1-safe nets, which generalize the class of *structured workflows* and are the basis for best practices in process modeling [11]. We also use safeness as a synonym of 1-safeness. It is important to notice that our approach can be seamlessly generalized to other classes of PNs, as long as it is guaranteed that they are k -safe. This reflects the fact that the process control-flow is well-defined (see [10]).

Reachability on Petri Nets. The behavior of a PN can be described as a transition system where states are markings and directed edges represent firings. Intuitively, there is an edge from M_i to M_{i+1} labeled by t_i if $M_i \xrightarrow{t_i} M_{i+1}$ is a valid firing. Given a “goal” marking M_g , the reachability problem amounts to check if there is a path from the initial marking M_0 to M_g . Reachability on PNs (WF-nets) is of enormous importance in process verification as it allows for checking natural behavioral properties, such as satisfiability and soundness in a natural manner [2].

2.2 Trace repair

One of the goals of process mining is to capture the as-is processes as accurately as possible: this is done by examining event logs that can be then exploited to perform the tasks in Figure 1. In many cases, however, event logs are subject to data quality problems, resulting in *incorrect* or *missing* events in the log. In this paper we focus on the latter issue addressing the problem of **repairing execution traces that contain missing entries** (hereafter shortened in trace repair).

The need for trace repair is motivated in depth in [17], where missing entities are described as a frequent cause of low data quality in event logs, especially when the definition of the business processes integrates activities that are not supported by IT systems due either to their nature (e.g. they consist of human interactions) or to the high level of abstraction of the description, detached from the implementation. A further cause of missing events are special activities (such as transition $T9$ in Figure 3) that are introduced in the model to guarantee properties concerning e.g., the structure of the workflow or syntactic constraints, but are never executed in practice.

The starting point of trace repair are *execution traces* and the knowledge captured in *process models*. Consider for instance the model in Figure 3 and the (partial) execution trace $\{T3, T7\}$. By aligning the trace to the model using a replay-based approach or a planning based approach, the techniques presented in [17] and [8] are able to exploit the events stored in the trace and the control flow specified in the model to reconstruct two possible repairs:

$$\begin{aligned} &\{T1, T3, T5, T7, T9, T10, T11, T12\} \\ &\{T1, T3, T5, T7, T9, T11, T10, T12\} \end{aligned}$$

Consider now a different scenario in which the partial trace reduces to $\{T7\}$. In this case, by using the control flow in Figure 3 we are not able to reconstruct whether the loan is a student loan or a worker loan. This increases the number of possible repairs and therefore lowers the usefulness of trace repair. Assume nonetheless that the event log conforms to the XES standard and stores some observed data attached to $T7$ (enclosed in square brackets):

$$\{T7[request = 60k, loan = 50k]\}$$

If the process model is able to specify how transitions can read and write variables, and furthermore some constraints on how they do it, the scenario changes completely. Indeed, assume that transition $T4$ is empowered with the ability to write the variable *request* with a value smaller or equal than 30k (being this the maximum amount of a student loan). Using this fact, and the fact that the request examined by $T7$ is greater than 30k, we can understand that the execution trace has chosen the path of the worker loan. Moreover, if the model specifies that variable *loanType* is written during the execution of $T1$, when the applicant chooses the type of loan she is interested to, we are able to infer that $T1$ sets variable *loanType* to *w*. This example, besides illustrating the idea of trace repair, also motivates why data are important to accomplish this task, and therefore why extending repair techniques beyond the mere control flow is a significant contribution to address data quality problems in event logs.

2.3 The planning language \mathcal{K}

The main elements of action languages are *fluents* and *actions*. The former represent the state of the system which may change by means of actions. Causation statements describe the possible evolution of the states, and preconditions associated to actions describe which action can be executed according to the current state. A planning problem in \mathcal{K} [9] is specified using a Datalog-like language where fluents and actions are represented by literals (not necessarily ground). The specification includes the list of fluents, actions, initial state and goal conditions; also a set of statements specifies the dynamics of the planning domain using causation rules and executability conditions. The semantics of \mathcal{K} borrows heavily from Answer Set Programming (ASP) paradigm. In fact, the system enables the reasoning with partial knowledge and provides both weak and strong negation.

A *causation rule* is a statement of the form

$$\begin{aligned} &\mathbf{caused} \ f \ \mathbf{if} \ b_1, \dots, b_k, \ \mathbf{not} \ b_{k+1}, \dots, \ \mathbf{not} \ b_\ell \\ &\quad \mathbf{after} \ a_1, \dots, a_m, \ \mathbf{not} \ a_{m+1}, \dots, \ \mathbf{not} \ a_n. \end{aligned}$$

The rule states that f is true in the new state reached by executing (simultaneously) some actions, provided that a_1, \dots, a_m are known to hold while a_{m+1}, \dots, a_n are not known to hold in the previous state (some of the a_j might be actions executed on it), and b_1, \dots, b_k are known to hold while b_{k+1}, \dots, b_ℓ are not known to hold in the new state. Rules without the **after** part are called *static*.

An *executability condition* is a statement of the form

executable a **if** b_1, \dots, b_k , **not** b_{k+1}, \dots, b_ℓ .

Informally, such a condition says that the action a is eligible for execution in a state, if b_1, \dots, b_k are known to hold while b_{k+1}, \dots, b_ℓ are not known to hold in that state.

Terms in both kind of statements could include variables (starting with capital letter) and the statements must be safe in the usual Datalog meaning w.r.t. the first fluent or action of the statements.

A *planning domain* PD is a tuple $\langle D, R \rangle$ where D is a finite set of action and fluent declarations and R a finite set of rules, initial state constraints, and executability conditions.

The semantics of the language is provided in terms of a transition system where the states are ASP models (sets of atoms) and actions transform the state according to the rules. A state transition is a tuple $t = \langle s, A, s' \rangle$ where s, s' are states and A is a set of action instances. The transition is said to be legal if the actions are executable in the first state and both states are the minimal ones that satisfy all causation rules. Semantics of plans including default negation is defined by means of a Gelfond-Lifschitz type reduction to a positive planning domain. A sequence of state transitions $\langle s_0, A_1, s_1 \rangle, \dots, \langle s_{n-1}, A_n, s_n \rangle$, $n \geq 0$, is a trajectory for PD, if s_0 is a legal initial state of PD and all $\langle s_{i-1}, A_i, s_i \rangle$, are legal state transitions of PD.

A *planning problem* is a pair of planning domain PD and a ground goal g_1, \dots, g_m , **not** g_{m+1}, \dots , **not** g_n that is required to be satisfied at the end of the execution.

3 Framework

In this section we suitably extend WF-nets to represent data and their evolution as transitions are performed. In order for such an extension to be meaningful, i.e., allowing reasoning on data, it has to provide: (i) a model for representing data; (ii) a way to make decisions on actual data values; and (iii) a mechanism to express modifications to data. Therefore, we enhance WF-nets with the following elements:

- a set of variables taking values from possibly different domains (addressing (i));
- queries on such variables used as transitions preconditions (addressing (ii))
- variables updates and deletion in the specification of net transitions (addressing (iii)).

Our framework follows the approach of state-of-the-art WF-nets with data [18,12], from which it borrows the above concepts, extending them by allowing reasoning on actual data values as better explained in Section 6.

Throughout the section we use the WF-net in Figure 3 extended with data as a running example.

3.1 Data Model

As our focus is on trace repair, we follow the data model of the IEEE XES standard for describing logs, which represents data as a set of variables. Variables take values from specific sets on which a partial order can be defined. As customary, we distinguish between the data model, namely the intensional level, from a specific instance of data, i.e., the extensional level.

Definition 5 (Data model). A data model is a tuple $\mathcal{D} = (\mathcal{V}, \Delta, dm, ord)$ where:

- \mathcal{V} is a possibly infinite set of variables;
- $\Delta = \{\Delta_1, \Delta_2, \dots\}$ is a possibly infinite set of domains (not necessarily disjoint);
- $dm : \mathcal{V} \rightarrow \Delta$ is a total and surjective function which associates to each variable v its domain Δ_i ;
- ord is a partial function that, given a domain Δ_i , if $ord(\Delta_i)$ is defined, then it returns a partial order (reflexive, antisymmetric and transitive) $\leq_{\Delta_i} \subseteq \Delta_i \times \Delta_i$.

A data model for the loan example is $\mathcal{V} = \{loanType, request, loan\}$, $dm(loanType) = \{w, s\}$, $dm(request) = \mathbb{N}$, $dm(loan) = \mathbb{N}$, with $dm(loan)$ and $dm(loanType)$ being total ordered by the natural ordering \leq in \mathbb{N} .

An actual instance of a data model is simply a partial function associating values to variables.

Definition 6 (Assignment). Let $\mathcal{D} = (\mathcal{V}, \Delta, dm, ord)$ be a data model. An assignment for variables in \mathcal{V} is a partial function $\eta : \mathcal{V} \rightarrow \bigcup_i \Delta_i$ such that for each $v \in \mathcal{V}$, if $\eta(v)$ is defined, i.e., $v \in img(\eta)$ where img is the image of η , then we have $\eta(v) \in dm(v)$.

We now define our boolean query language, which notably allows for equality and comparison. As will become clearer in Section B.2, queries are used as *guards*, i.e., preconditions for the execution of transitions.

Definition 7 (Query language - syntax). Given a data model, the language $\mathcal{L}(\mathcal{D})$ is the set of formulas Φ inductively defined according to the following grammar:

$$\Phi ::= true \mid def(v) \mid t_1 = t_2 \mid t_1 \leq t_2 \mid \neg\Phi_1 \mid \Phi_1 \wedge \Phi_2$$

where $v \in \mathcal{V}$ and $t_1, t_2 \in \mathcal{V} \cup \bigcup_i \Delta_i$.

Examples of queries of the loan scenarios are $request \leq 5k$ or $loanType = w$. Given a formula Φ and an assignment η , we write $\Phi[\eta]$ for the formula Φ where each occurrence of variable $v \in img(\eta)$ is replaced by $\eta(v)$.

Definition 8 (Query language - semantics). Given a data model \mathcal{D} , an assignment η and a query $\Phi \in \mathcal{L}(\mathcal{D})$ we say that \mathcal{D}, η satisfies Φ , written $\mathcal{D}, \eta \models \Phi$ inductively on the structure of Φ as follows:

- $\mathcal{D}, \eta \models true$;
- $\mathcal{D}, \eta \models def(v)$ iff $v \in img(\eta)$;
- $\mathcal{D}, \eta \models t_1 = t_2$ iff $t_1[\eta], t_2[\eta] \notin \mathcal{V}$ and $t_1[\eta] \equiv t_2[\eta]$;
- $\mathcal{D}, \eta \models t_1 \leq t_2$ iff $t_1[\eta], t_2[\eta] \in \Delta_i$ for some i and $ord(\Delta_i)$ is defined and $t_1[\eta] \leq_{\Delta_i} t_2[\eta]$;

- $\mathcal{D}, \eta \models \neg\Phi$ iff it is not the case that $\mathcal{D}, \eta \models \Phi$;
- $\mathcal{D}, \eta \models \Phi_1 \wedge \Phi_2$ iff $\mathcal{D}, \eta \models \Phi_1$ and $\mathcal{D}, \eta \models \Phi_2$.

Intuitively, def can be used to check if a variable has an associated value or not (recall that assignment η is a partial function); equality has the intended meaning and $t_1 \leq t_2$ evaluates to true iff t_1 and t_2 are values belonging to the same domain Δ_i , such a domain is ordered by a partial order \leq_{Δ_i} and t_1 is actually less or equal than t_2 according to \leq_{Δ_i} .

3.2 Data-aware net

We now combine the data model with a WF-net and formally define how transitions are guarded by queries and how they update/delete data. The result is a Data-Aware net (DAW-net) that incorporates aspects (i)–(iii) described at the beginning of Section 3.

Definition 9 (DAW-net). A DAW-net is a tuple $\langle \mathcal{D}, \mathcal{N}, \text{wr}, \text{gd} \rangle$ where:

- $\mathcal{N} = \langle P, T, F \rangle$ is a WF-net;
- $\mathcal{D} = \langle \mathcal{V}, \Delta, \text{dm}, \text{ord} \rangle$ is a data model;
- $\text{wr} : T \mapsto (\mathcal{V}' \mapsto 2^{\text{dm}(\mathcal{V})})$, where $\mathcal{V}' \subseteq \mathcal{V}$, $\text{dm}(\mathcal{V}) = \bigcup_{v \in \mathcal{V}} \text{dm}(v)$ and $\text{wr}(t)(v) \subseteq \text{dm}(v)$ for each $v \in \mathcal{V}'$, is a function that associates each transition to a (partial) function mapping variables to a finite subset of their domain.
- $\text{gd} : T \mapsto \mathcal{L}(\mathcal{D})$ is a function that associates a guard to each transition.

Function gd associates a guard, namely a query, to each transition. The intuitive semantics is that a transition t can fire if its guard $\text{gd}(t)$ evaluates to true (given the current assignment of values to data). Examples are $\text{gd}(T6) = \text{request} \leq 5\text{k}$ and $\text{gd}(T8) = \neg(\text{request} \leq 99999)$. Function wr is instead used to express how a transition t modifies data: after the firing of t , each variable $v \in \mathcal{V}'$ can take any value among a specific finite subset of $\text{dm}(v)$. We have three different cases:

- $\emptyset \subset \text{wr}(t)(v) \subseteq \text{dm}(v)$: t nondeterministically assigns a value from $\text{wr}(t)(v)$ to v ;
- $\text{wr}(t)(v) = \emptyset$: t deletes the value of v (hence making v undefined);
- $v \notin \text{dom}(\text{wr}(t))$: value of v is not modified by t .

Notice that by allowing $\text{wr}(t)(v) \subseteq \text{dm}(v)$ in the first bullet above we enable the specification of restrictions for specific tasks. E.g., $\text{wr}(T4) : \{\text{request}\} \mapsto \{\mathbf{0} \dots 30\mathbf{k}\}$ says that $T4$ writes the *request* variable and intuitively that students can request a maximum loan of $30\mathbf{k}$, while $\text{wr}(T5) : \{\text{request}\} \mapsto \{\mathbf{0} \dots 500\mathbf{k}\}$ says that workers can request up to $500\mathbf{k}$.

The intuitive semantics of gd and wr is formalized next. We start from the definition of DAW-net state, which includes both the state of the WF-net, namely its marking, and the state of data, namely the assignment. We then extend the notions of state transition and valid firing.

Definition 10 (DAW-net state). A state of a DAW-net $\langle \mathcal{D}, \mathcal{N}, \text{wr}, \text{gd} \rangle$ is a pair (M, η) where M is a marking for $\langle P, T, F \rangle$ and η is an assignment for \mathcal{D} .

Definition 11 (DAW-net Valid Firing). Given a DAW-net $\langle \mathcal{D}, \mathcal{N}, \text{wr}, \text{gd} \rangle$, a firing of a transition $t \in T$ is a valid firing from (M, η) to (M', η') , written as $(M, \eta) \xrightarrow{t} (M', \eta')$, iff conditions 1. and 2. of Def. 3 holds for M and M' , i.e., it is a WF-Net valid firing, and

1. $\mathcal{D}, \eta \models \text{gd}(t)$,
2. assignment η' is such that, if $\text{WR} = \{v \mid \text{wr}(t)(v) \neq \emptyset\}$, $\text{DEL} = \{v \mid \text{wr}(t)(v) = \emptyset\}$:
 - its domain $\text{dom}(\eta') = \text{dom}(\eta) \cup \text{WR} \setminus \text{DEL}$;
 - for each $v \in \text{dom}(\eta')$:

$$\eta'(v) = \begin{cases} d \in \text{wr}(t)(v) & \text{if } v \in \text{WR} \\ \eta(v) & \text{otherwise.} \end{cases}$$

Condition 1. and 2. extend the notion of valid firing of WF-nets imposing additional pre- and postconditions on data, i.e., preconditions on η and postconditions on η' . Specifically, 1. says that for a transition t to be fired its guard $\text{gd}(t)$ must be satisfied by the current assignment η . Condition 2. constrains the new state of data: the domain of η' is defined as the union of the domain of η with variables that are written (WR), minus the set of variables that must be deleted (DEL). Variables in $\text{dom}(\eta')$ can indeed be grouped in three sets depending on the effects of t : (i) $\text{OLD} = \text{dom}(\eta) \setminus \text{WR}$: variables whose value is unchanged after t ; (ii) $\text{NEW} = \text{WR} \setminus \text{dom}(\eta)$: variables that were undefined but have a value after t ; and (iii) $\text{OVERWR} = \text{WR} \cap \text{dom}(\eta)$: variables that did have a value and are updated with a new one after t . The final part of condition 2. says that each variable in $\text{NEW} \cup \text{OVERWR}$ takes a value in $\text{wr}(t)(v)$, while variables in OLD maintain the old value $\eta(v)$.

A case of a DAW-net is defined as a case of a WF-net, with the only difference that the assignment η_0 of the initial state (M_0, η_0) is empty, i.e., $\text{dom}(\eta_0) = \emptyset$.

4 Trace repair as reachability

In this section we provide the intuition behind our technique for solving the trace repair problem via reachability. Full details and proofs are contained in Appendices A–D.

A *trace* is a sequence of observed *events*, each with a payload including the transition it refers to and its effects on the data, i.e., the variables updated by its execution. Intuitively, a DAW-net case is *compliant* w.r.t. a trace if it contains all the occurrences of the transitions observed in the trace (with the corresponding variable updates) in the right order.

As a first step, we assume without loss of generality that DAW-net models start with a special transition start_t and terminate with a special transition end_t . Every process can be reduced to such a structure as informally illustrated in the left hand side of Figure 4 by arrows labeled with (1). Note that this change would not modify the behavior of the net: any sequence of firing valid for the original net can be extended by the firing of the additional transitions and vice versa.

Next, we illustrate the main idea behind our approach by means of the right hand side of Figure 4: we consider the observed events as transitions (in red) and we suitably “inject” them in the original DAW-net. By doing so, we obtain a new model where, intuitively, tokens are forced to activate the red transitions of DAW-net, when events are observed in the trace. When, instead, there is no red counterpart, i.e., there is missing information in the trace, the tokens move in the black part of the model. The objective is then to perform reachability for the final marking (i.e., to have one token in the *end*

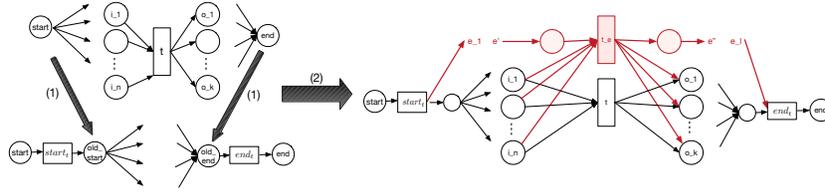


Fig. 4: Outline of the trace “injection”

place and all other places empty) over such a new model in order to obtain all and only the possible repairs for the partial trace.

More precisely, for each event e with a payload including transition t and some effect on variables we introduce a new transition t_e in the model such that:

- t_e is placed in parallel with the original transition t ;
- t_e includes an additional input place connected to the preceding event and an additional output place which connects it to the next event;
- $\text{gd}(t_e) = \text{gd}(t)$ and
- $\text{wr}(t_e)$ specifies exactly the variables and the corresponding values updated by the event, i.e. if the event set the value of v to d , then $\text{wr}(t_e)(v) = \{d\}$; if the event deletes the variable v , then $\text{wr}(t_e)(v) = \emptyset$.

Given a trace τ and a DAW-net W , it is easy to see that the resulting *trace workflow* (indicated as W^τ) is a strict extension of W (only new nodes are introduced) and, since all newly introduced nodes are in a path connecting the start and sink places, it is a DAW-net, whenever the original one is a DAW-net.

We now prove the soundness and completeness of the approach by showing that: (1) all cases of W^τ are compliant with τ ; (2) each case of W^τ is also a case of W and (3) if there is a case of W compliant with τ , then that is also a case for W^τ .

Property (1) is ensured by construction. For (2) and (3) we need to relate cases from W^τ to the original DAW-net W . We indeed introduce a projection function Π_τ that maps elements from cases of the enriched DAW-net to cases of elements from the original DAW-net. Essentially, Π_τ maps newly introduced transitions t_e to the corresponding transitions in event e , i.e., t , and also projects away the new places in the markings. Given that the structure of W^τ is essentially the same as that of W with additional copies of transitions that are already in W , it is not surprising that any case for W^τ can be replayed on W by mapping the new transitions t_e into the original ones t , as shown by the following:

Lemma 1. *If C is a case of W^τ then $\Pi_\tau(C)$ is a case of W .*

This lemma proves that whenever we find a case on W^τ , then it is an example of a case on W that is compliant with τ , i.e., (2). However, to reduce the original problem to reachability on DAW-net, we need to prove that *all* the W cases compliant with τ can be replayed on W^τ , that is, (3). In order to do that, we can build a case for W^τ starting from the compliant case for W , by substituting the occurrences of firings corresponding to events in τ with the newly introduced transitions. The above results pave the way to the following:

Theorem 1. *Let W be a DAW-net and $\tau = (e_1, \dots, e_n)$ a trace; then W^τ characterises all and only the cases of W compatible with τ . That is*

- \Rightarrow if C is a case of W^τ containing t_{e_n} then $\Pi_\tau(C)$ is compatible with τ ; and
 \Leftarrow if C is a case of W compatible with τ , then there is a case C' of W^τ s.t. $\Pi_\tau(C') = C$.*

Theorem 1 provides the main result of this section and is the basis for the reduction of the trace repair for W and τ to the reachability problem for W^τ . In fact, by enumerating all the cases of W^τ reaching the final marking (i.e. a token in *end*) we can provide all possible repairs for the partial observed trace. Moreover, the transformation generating W^τ is preserving the safeness properties of the original workflow:

Lemma 2. *Let W be a DAW-net and τ a trace of W . If W is k -safe then W^τ is k -safe as well.*

This is essential to guarantee the decidability of the reasoning techniques described in the next section.

5 Reachability as a planning problem

In this section we exploit the similarity between workflows and planning domains in order to describe the evolution of a DAW-net by means of a planning language. Once the original workflow behaviour has been encoded into an equivalent planning domain, we can use the automatic derivation of plans with specific properties to solve the reachability problem. In our approach we introduce a new action for each transition (to ease the description we will use the same names) and represent the status of the workflow – marking and variable assignments – by means of fluents. Although their representation as dynamic rules is conceptually similar we will separate the description of the encoding by considering first the behavioural part (the WF-net) and then the encoding of data (variable assignments and guards).

5.1 Encoding DAW-net behaviour

Since we focus on 1-safe WF-nets the representation of markings is simplified by the fact that each place can either contain 1 token or no tokens at all. This information can be represented introducing a propositional fluent for each place, true iff the corresponding place holds a token. Let us consider $\langle P, T, F \rangle$ the *safe WF-net* component of a DAW-net system. The declaration part of the planning domain will include:

- a fluent declaration p for each place $p \in P$;
- an action declaration t for each task $t \in T$.

Since each transition can be fired⁵ only if each input place contains a token, then the corresponding action can be executed when place fluents are true: for each task $t \in T$, given $\{i_1^t, \dots, i_n^t\} = \bullet t$, we include the executability condition:

executable t if i_1^t, \dots, i_n^t .

⁵ Guards will be introduced in the next section.

As valid firings are sequential, namely only one transition can be fired at each step, we disable concurrency in the planning domain introducing the following rule for each pair of tasks $t_1, t_2 \in T$ ⁶

caused false after t_1, t_2 .

Transitions transfer tokens from input to output places. Thus the corresponding actions must clear the input places and set the output places to true. This is enforced by including

caused $-i_1^t$ **after** t **caused** $-i_n^t$ **after** t .
caused o_1^t **after** t **caused** o_k^t **after** t .

for each task $t \in T$ and $\{i_1^t, \dots, i_n^t\} = \bullet t \setminus t^\bullet$, $\{o_1^t, \dots, o_k^t\} = t^\bullet$. Finally, place fluents should be inertial since they preserve their value unless modified by an action. This is enforced by adding for each $p \in P$

caused p if not $-p$ **after** p .

Planning problem. Besides the domain described above, a planning problem includes an initial state, and a goal. In the initial state the only place with a token is the source:

initially: *start*.

The formulation of the goal depends on the actual instance of the reachability problem we need to solve. The goal corresponding to the state in which the only place with a token is *end* is written as:

goal: *end*, **not** p_1 , . . . , **not** p_k ?

where $\{p_1, \dots, p_k\} = P \setminus \{end\}$.

5.2 Encoding data

For each variable $v \in \mathcal{V}$ we introduce a fluent unary predicate var_v holding the value of that variable. Clearly, var_v predicates must be functional and have no positive instantiation for undefined variables.

We also introduce auxiliary fluents to facilitate the writing of the rules. Fluent def_v indicates whether the v variable is *not* undefined – it is used both in tests and to enforce models where the variable is assigned/unassigned. The fluent chg_v is used to inhibit inertia for the variable v when its value is updated because of the execution of an action.

DAW-net includes the specification of the set of values that each transition can write on a variable. This information is static, therefore it is included in the background knowledge by means of a set of unary predicates $\text{dom}_{v,t}$ as a set of facts:

$\text{dom}_{v,t}(e)$.

for each $v \in \mathcal{V}$, $t \in T$, and $e \in \text{wr}(t)(v)$.

Constraints on variables. For each variable $v \in \mathcal{V}$:

- we impose functionality
caused false if $\text{var}_v(X), \text{var}_v(Y), X \neq Y$.
- we force its value to propagate to the next state unless it is modified by an action (chg_v)

⁶ For efficiency reasons we can relax this constraint by disabling concurrency only for transitions sharing places or updating the same variables. This would provide shorter plans.

caused $\text{var}_v(X)$ **if not** $\neg\text{var}_v(X)$, **not** chng_v
after $\text{var}_v(X)$.

- the defined fluent is the projection of the argument
caused def_v **if** $\text{var}_v(X)$.

Variable updates. The value of a variable is updated by means of causation rules that depend on the transition t that operates on the variable, and depends on the value of $\text{wr}(t)$. For each v in the domain of $\text{wr}(t)$:

- $\text{wr}(t)(v) = \emptyset$: delete (undefine) a variable v
caused false **if** def_v **after** t .
caused chng_v **after** t .
- $\text{wr}(t)(v) \subseteq \text{dm}(v)$: set v with a value nondeterministically chosen among a set of elements from its domain
caused $\text{var}_v(V)$ **if** $\text{dom}_{v,t}(V)$, **not** $\neg\text{var}_v(V)$ **after** t .
caused $\neg\text{var}_v(V)$ **if** $\text{dom}_{v,t}(V)$, **not** $\text{var}_v(V)$ **after** t .
caused false **if not** def_v **after** t .
caused chng_v **after** t .
If $\text{wr}(t)(v)$ contains a single element d , then the assignment is deterministic and the first three rules above can be substituted with⁷
caused $\text{var}_v(d)$ **after** t .

Guards. To each subformula φ of transition guards is associated a fluent grd_φ that is true when the corresponding formula is satisfied. To simplify the notation, for any transition t , we will use grd_t to indicate the fluent $\text{grd}_{\text{gd}(t)}$. Executability of transitions is conditioned to the satisfiability of their guards; instead of modifying the executability rule including the grd_t among the preconditions, we use a constraint rule preventing executions of the action whenever its guard is not satisfied:

caused false **after** t , **not** grd_t .

Translation of atoms (ξ) is defined in terms of var_v predicates. For instance $\xi(v = w)$ corresponds to $\text{var}_v(V)$, $\text{var}_w(W)$, $V == W$. That is $\xi(v, T) = \text{var}_t(T)$ for $t \in \mathcal{V}$, and $\xi(d, T) = \text{var}_t T == d$ for $d \in \bigcup_i \Delta_i$. For each subformula φ of transition guards a static rule is included to “define” the fluent grd_φ :

$\text{true} : \text{caused } \text{grd}_\varphi \text{ if true} .$
 $\text{def}(v) : \text{caused } \text{grd}_\varphi \text{ if def}_v .$
 $t_1 = t_2 : \text{caused } \text{grd}_\varphi \text{ if } \xi(t_1, T1), \xi(t_2, T2), T1 == T2 .$
 $t_1 \leq t_2 : \text{caused } \text{grd}_\varphi \text{ if } \xi(t_1, T1), \xi(t_2, T2), \text{ord}(T1, T2) .$
 $\neg\varphi_1 : \text{caused } \text{grd}_\varphi \text{ if not } \text{grd}_{\varphi_1} .$
 $\varphi_1 \wedge \dots \wedge \varphi_n : \text{caused } \text{grd}_\varphi \text{ if } \text{grd}_{\varphi_1}, \dots, \text{grd}_{\varphi_n} .$

5.3 Correctness and completeness

We provide a sketch of the correctness and completeness of the encoding. Proofs can be found in [4].

⁷ The deterministic version is a specific case of the non-deterministic ones and equivalent in the case that there is a single $\text{dom}_{v,t}(d)$ fact.

Planning states include all the information to reconstruct the original DAW-net states. In fact, we can define a function $\Phi(\cdot)$ mapping consistent planning states into DAW-net states as following: $\Phi(s) = (M, \eta)$ with

$$\forall p \in P, M(p) = \begin{cases} 1 & \text{if } p \in s \\ 0 & \text{otherwise} \end{cases} \quad \eta = \{(v, d) \mid \text{var}_v(d) \in s\}$$

$\Phi(s)$ is well defined because s it cannot be the case that $\{\text{var}_v(d), \text{var}_v(d')\} \subseteq s$ with $d \neq d'$, otherwise the static rule

caused false if $\text{var}_v(X), \text{var}_v(Y), X \neq Y$.

would not be satisfied. Moreover, 1-safeness implies that we can restrict to markings with range in $\{0, 1\}$. By looking at the static rules we can observe that those defining the predicates def_v and grd_t are stratified. Therefore their truth assignment depends only on the extension of $\text{var}_v(\cdot)$ predicates. This implies that grd_t fluents are satisfied iff the variables assignment satisfies the corresponding guard $\text{gd}(t)$. Based on these observations, the correctness of the encoding is relatively straightforward since we need to show that a legal transition in the planning domain can be mapped to a valid firing. This is proved by inspecting the dynamic rules.

Lemma 3 (Correctness). *Let W be a DAW-net and $\Omega(W)$ the corresponding planning problem. If $\langle s, \{t\}, s' \rangle$ is a legal transition in $\Omega(W)$, then $\Phi(s) \xrightarrow{t} \Phi(s')$ is a valid firing of W .*

The proof of completeness is more complex because – given a valid firing – we need to build a new planning state and show that it is minimal w.r.t. the transition. Since the starting state s of $\langle s, \{t\}, s' \rangle$ does not require minimality we just need to show its existence, while s' must be carefully defined on the basis of the rules in the planning domain.

Lemma 4 (Completeness). *Let W be a DAW-net, $\Omega(W)$ the corresponding planning problem and $(M, \eta) \xrightarrow{t} (M', \eta')$ be a valid firing of W . Then for each consistent state s s.t. $\Phi(s) = M$ there is a consistent state s' s.t. $\Phi(s') = M'$ and $\langle s, \{t\}, s' \rangle$ is a legal transition in $\Omega(W)$.*

Lemmata 13 and 12 provide the basis for the inductive proof of the following theorem:

Theorem 2. *Let W be a safe WF-net and $\Omega(PN)$ the corresponding planning problem. Let (M_0, η_0) be the initial state of W – i.e. with a single token in the source and no assignments – and s_0 the planning state satisfying the initial condition.*

(\Rightarrow) *For any case in W*

$$\zeta : (M_0, \eta_0) \xrightarrow{t_1} (M_1, \eta_1) \dots (M_{n-1}, \eta_{n-1}) \xrightarrow{t_n} (M_n, \eta_n)$$

there is a trajectory in $\Omega(W)$

$$\eta : \langle s_0, \{t_1\}, s_1 \rangle, \dots, \langle s_{n-1}, \{t_n\}, s_n \rangle$$

such that $(M_i, \eta_i) = \Phi(s_i)$ for each $i \in \{0 \dots n\}$ and viceversa.

(\Leftarrow) For each trajectory $\eta : \langle s_0, \{t_1\}, s_1 \rangle, \dots, \langle s_{n-1}, \{t_n\}, s_n \rangle$
in $\Omega(W)$, the following sequence of firings is a case of W
 $\zeta : \Phi(s_0) \xrightarrow{t_1} \Phi(s_1) \dots \Phi(s_{n-1}) \xrightarrow{t_n} \Phi(s_n)$.

Theorem 5 above enables the exploitation of planning techniques to solve the reachability problem in DAW-net. Indeed, to verify whether the final marking is reachable it is sufficient to encode it as a condition for the final state and verify the existence of a trajectory terminating in a state where the condition is satisfied. Decidability of the planning problem is guaranteed by the fact that domains are effectively finite, as in Definition 9 the wr functions range over a finite subset of the domain.

6 Related Work and Conclusions

The key role of data in the context of business processes has been recently recognized. A number of variants of PNs have been enriched so as to make tokens able to carry data and transitions aware of the data, as in the case of Workflow nets enriched with data [18,12], the model adopted by the business process community. In detail, Workflow Net transitions are enriched with information about data (e.g., a variable *request*) and about how it is used by the activity (for reading or writing purposes). Nevertheless, these nets do not consider data values (e.g., in the example of Section 2.2 we would not be aware of the values of the variable *request* that *T4* is enabled to write). They only allow for the identification of whether the value of the data element is defined or undefined, thus limiting the reasoning capabilities that can be provided on top of them. For instance, in the example of Section 2.2, we would not be able to discriminate between the worker and the student loan for the trace in (2.2), as we would only be aware that *request* is defined after *T4*.

The problem of incomplete traces has been investigated in a number of works of trace alignment in the field of process mining, where it still represents one of the challenges. Several works have addressed the problem of aligning event logs and procedural models, without [3] and with [13,12] data. All these works, however, explore the search space of possible moves in order to find the best one aligning the log and the model. Differently from them, in this work (i) we assume that the model is correct and we focus on the repair of incomplete execution traces; (ii) we want to exploit state-of-the-art planning techniques to reason on control and data flow rather than solving an optimisation problem.

We can overall divide the approaches facing the problem of reconstructing flows of model activities given a partial set of information in two groups: quantitative and qualitative. The former rely on the availability of a probabilistic model of execution and knowledge. For example, in [17], the authors exploit stochastic PNs and Bayesian Networks to recover missing information (activities and their durations). The latter stand on the idea of describing “possible outcomes” regardless of likelihood; hence, knowledge about the world will consist of equally likely “alternative worlds” given the available observations in time, as in this work. For example, in [5] the same issue of reconstructing missing information has been tackled by reformulating it in terms of a Satisfiability(SAT) problem rather than as a planning problem.

Planning techniques have already been used in the context of business processes, e.g., for verifying process constraints [16] or for the construction and adaptation of autonomous process models [19,15]. In [7] automated planning techniques have been applied for aligning execution traces and declarative models. As in this work, in [8], planning techniques have been used for addressing the problem of incomplete execution traces with respect to procedural models. However, differently from the two approaches above, this work uses for the first time planning techniques to target the problem of completing incomplete execution traces with respect to a procedural model that also takes into account data and the value they can assume.

Despite this work mainly focuses on the problem of trace completion, the proposed automated planning approach can easily exploit reachability for model satisfiability and trace compliance and furthermore can be easily extended also for aligning data-aware procedural models and execution traces. Moreover, the presented encoding in the planning language \mathcal{K} , can be directly adapted to other action languages with an expressiveness comparable to \mathcal{C} [14]. In the future, we would like to explore these extensions and implement the proposed approach and its variants in a prototype.

A Preliminaries

A.1 Workflow Nets

Definition 12 (Petri Net [12]). A Petri Net is a triple $\langle P, T, F \rangle$ where

- P is a set of places;
- T is a set of transitions;
- $F \subseteq (P \times T) \cup (T \times P)$ is the flow relation describing the “arcs” between places and transitions (and between transitions and places).

The preset of a transition t is the set of its input places: $\bullet t = \{p \in P \mid (p, t) \in F\}$. The postset of t is the set of its output places: $t^\bullet = \{p \in P \mid (t, p) \in F\}$. Definitions of pre- and postsets of places are analogous.

The marking of a Petri net is a total mapping $M : P \mapsto \mathbb{N}$.

Definition 13 (WF-net [18]). A Petri net $\langle P, T, F \rangle$ is a workflow net (WF-net) if it has a single source place *start*, a single sink place *end*, and every place and every transition is on a path from *start* to *end*; i.e. for all $n \in P \cup T$, $(start, n) \in F^*$ and $(n, end) \in F^*$, where F^* is the reflexive transitive closure of F .

The semantics of a PN is defined in terms of its markings and *valid firing* of transitions which change the marking. A firing of a transition $t \in T$ from M to M' is valid – denoted by $M \xrightarrow{t} M'$ – iff:

- t is enabled in M , i.e., $\{p \in P \mid M(p) > 0\} \supseteq \bullet t$; and
- the marking M' satisfies the property that for every $p \in P$:

$$M'(p) = \begin{cases} M(p) - 1 & \text{if } p \in \bullet t \setminus t^\bullet \\ M(p) + 1 & \text{if } p \in t^\bullet \setminus \bullet t \\ M(p) & \text{otherwise} \end{cases}$$

A case of PN is a sequence of valid firings

$$M_0 \xrightarrow{t_1} M_1, M_1 \xrightarrow{t_2} M_2, \dots, M_{k-1} \xrightarrow{t_k} M_k$$

where M_0 is the marking where there is a single token in the start place.

Definition 14 (safeness). A marking of a Petri Net is k -safe if the number of tokens in all places is at most k . A Petri Net is k -safe if the initial marking is k -safe and the marking of all cases is k -safe.

In this document we focus on 1-safeness, which is equivalent to the original safeness property as defined in [1].⁸ Note that for safe nets the range of markings is restricted to $\{0, 1\}$.

A.2 Action Language \mathcal{K}

The formal definition of \mathcal{K} can be found in Appendix A of [9]; here, as reference, we include the main concepts.

We assume disjoint sets of action, fluent and type names, i.e., predicate symbols of arity ≥ 0 , and disjoint sets of constant and variable symbols. Literals can be positive or negative atoms; denoted by $-$. Given a set of literals L , L^+ (respectively, L^-) is the set of positive (respectively, negative) literals in L . A set of literals is *consistent* no atoms appear both positive and negated.

The set of all action (respectively, fluent, type) literals is denoted as \mathcal{L}_{act} (respectively, \mathcal{L}_{fl} , \mathcal{L}_{typ}).

Furthermore, $\mathcal{L}_{fl,typ} = \mathcal{L}_{fl} \cup \mathcal{L}_{typ}$, $\mathcal{L}_{dyn} = \mathcal{L}_{fl} \cup \mathcal{L}_{act}^+$, and $\mathcal{L} = \mathcal{L}_{fl,typ} \cup \mathcal{L}_{act}^+$.

Definition 15 (Causation rule). A (causation) rule is an expression of the form

caused f **if** b_1, \dots, b_k , **not** b_{k+1}, \dots , **not** b_ℓ
after a_1, \dots, a_m , **not** a_{m+1}, \dots , **not** a_n .

where $f \in \mathcal{L}_{fl} \cup \{\text{false}\}$, $b_i \in \mathcal{L}_{fl,typ}$, $a_i \in \mathcal{L}$, $\ell \geq k \geq 0$ and $n \geq m \geq 0$.

If $n = 0$ the rule is called static.

We define $h(r) = f$, $pre^+(r) = \{a_1, \dots, a_m\}$, $pre^-(r) = \{a_{m+1}, \dots, a_n\}$,
 $post^+(r) = \{b_1, \dots, b_k\}$, $post^-(r) = \{b_{k+1}, \dots, b_\ell\}$

Definition 16 (Initial state constraints). An initial state constraint is a static rule preceded by the keyword *initially*.

Definition 17 (Executability condition). An executability condition e is an expression of the form

executable a **if** b_1, \dots, b_k , **not** b_{k+1}, \dots , **not** b_ℓ .

where $a \in \mathcal{L}_{act}^+$, $b_i \in \mathcal{L}_{fl,typ}$, and $\ell \geq k \geq 0$.

We define $h(e) = a$, $pre^+(e) = \{b_1, \dots, b_k\}$, and $pre^-(e) = \{b_{k+1}, \dots, b_\ell\}$

Since in this document we're dealing with ground plans, for the definition of *typed instantiation* the reader is referred to the original paper.

⁸ In the following we will use safeness as a synonym of 1-safeness.

Definition 18 (Planning domain, [9] Def. A.5). An action description $\langle D, R \rangle$ consists of a finite set D of action and fluent declarations and a finite set R of safe causation rules, safe initial state constraints, and safe executability conditions. A \mathcal{K} planning domain is a pair $PD = \langle \Pi, AD \rangle$, where Π is a stratified Datalog program (the background knowledge) which is safe, and AD is an action description. We call PD positive, if no default negation occurs in AD .

The set $lit(PD)$ contains all the literals appearing in PD .

Definition 19 (State, State transition). A state w.r.t. a planning domain PD is any consistent set $s \subseteq \mathcal{L}_{fl} \cap (lit(PD) \cup lit(PD)^-)$ of legal fluent instances and their negations. A state transition is any tuple $t = \langle s, A, s' \rangle$ where s, s' are states and $A \subseteq \mathcal{L}_{act} \cap lit(PD)$ is a set of legal action instances in PD .

Semantics of plans including default negation is defined by means of a Gelfond-Lifschitz type reduction to a positive planning domain.

Definition 20. Let PD be a ground and well-typed planning domain, and let $t = \langle s, A, s' \rangle$ be a state transition. Then, the reduction PD^t of PD by t is the planning domain where the set of rules R of PD is substituted by R^t obtained by deleting

1. each $r \in R$, where either $post^-(r) \cap s' \neq \emptyset$ or $pre^-(r) \cap s \neq \emptyset$, and
2. all default literals **not** ℓ ($\ell \in \mathcal{L}$) from the remaining $r \in R$.

Definition 21 (Legal initial state, executable action set, legal state transition). For any planning domain $PD = \langle D, R \rangle$

- a state s_0 is a legal initial state, if s_0 is the least set s.t. for all static and initial rules r $post(r) \subseteq s_0$ implies $h(r) \subseteq s_0$;
- a set $A \subseteq \mathcal{L}_{act}^+$ is an executable action set w.r.t. a state s , if for each $a \in A$ there is an executability condition $e \in R^{(s, A, \emptyset)}$ s.t. $h(e) = \{a\}$, $pre(e) \cap \mathcal{L}_{fl} \subseteq s$, and $pre(e) \cap \mathcal{L}_{act}^+ \subseteq A$;
- a state transition $t = \langle s, A, s' \rangle$ is legal if A is an executable action set w.r.t. s , and s' is the minimal consistent set that satisfies all causation rules in $R^{(s, A, s')}$ w.r.t. $s \cup A$. A causation rule $r \in R^{(s, A, s')}$, is satisfied if the three conditions
 1. $post(r) \subseteq s'$
 2. $pre(r) \cap \mathcal{L}_{fl} \subseteq s$
 3. $pre(r) \cap \mathcal{L}_{act} \subseteq A$
all hold, then $h(r) \neq \{false\}$ and $h(r) \subseteq s'$.

Definition 22 (Trajectory). A sequence of state transitions

$$\langle s_0, A_1, s_1 \rangle, \langle s_1, A_2, s_2 \rangle, \dots, \langle s_{n-1}, A_n, s_n \rangle$$

, $n \geq 0$, is a trajectory for PD , if s_0 is a legal initial state of PD and all $\langle s_{i-1}, A_i, s_i \rangle$, $1 \leq i \leq n$, are legal state transitions of PD .

If $n = 0$, then the trajectory is empty.

Definition 23 (Planning problem). A planning problem is a pair of planning domain PD and a ground goal q

g_1, \dots, g_m , **not** g_{m+1}, \dots , **not** g_n .

where $g_i \in \mathcal{L}_{ft}$ and $n \geq m \geq 0$.

A state s satisfies the goal if $\{g_1, \dots, g_m\} \subseteq s$ and $\{g_{m+1}, \dots, g_n\} \cap s = \emptyset$.

Definition 24 (Optimistic plan). A sequence of action sets A_1, \dots, A_k is an optimistic plan for a planning problem $\langle PD, q \rangle$ if there is a trajectory $\langle s_0, A_1, s_1 \rangle, \dots, \langle s_{k-1}, A_k, s_k \rangle$ establishing the goal q , i.e. s_k satisfies q .

Definition 25 (Secure plan). An optimistic plan A_1, \dots, A_n is secure if for every legal initial state s_0 and trajectory $\langle s_0, A_1, s_1 \rangle, \langle s_1, A_2, s_2 \rangle, \dots, \langle s_{k-1}, A_k, s_k \rangle$ $0 \leq k \leq n$, it holds that

1. if $k = n$ then s_k satisfies the goal;
2. if $k < n$, then there is a legal transition $\langle s_k, A_{k+1}, s_{k+1} \rangle$.

B Framework

B.1 Data Model

Definition 26 (Data model). A data model for is a couple $\mathcal{D} = (\mathcal{V}, \Delta, dm, ord)$ where:

- \mathcal{V} is a possibly infinite set of variables;
- $\Delta = \{\Delta_1, \dots, \Delta_n\}$ is a set of domains (not necessarily disjoint);
- $dm : \mathcal{V} \rightarrow \Delta$ is a total and surjective function which associate to each variable v its finite domain Δ_i ;
- ord is a partial function that, given a domain Δ_i , if $ord(\Delta_i)$ is defined, then it returns a partial order (reflexive, antisymmetric and transitive) $\leq_{\Delta_i} \subseteq \Delta_i \times \Delta_i$.

Definition 27 (Assignment). Let $\mathcal{D} = \langle \mathcal{V}, \Delta, dm, ord \rangle$ be a data model. An assignment for variables in \mathcal{V} is a partial function $\eta : \mathcal{V} \rightarrow \Delta_v$ such that for each $v \in \mathcal{V}$, if $\eta(v)$ is defined, then we have $\eta(v) \in dm(v)$. We write $\Phi[\eta]$ for the formula Φ where each occurrence of a variable $v \in img(\eta)$ is replaced by $\eta(v)$.

Definition 28 (Guard language, syntax). Given a data model, the language $\mathcal{L}(\mathcal{D})$ of guards is the set of formulas Φ inductively defined according to the following grammar:

$$\Phi := true \mid def(v) \mid t_1 = t_2 \mid t_1 \leq t_2 \mid \neg\Phi_1 \mid \Phi_1 \wedge \Phi_2$$

where $v \in \mathcal{V}$ and $t_1, t_2 \in \mathcal{V} \cup \bigcup_i \Delta_i$.

Definition 29 (Guard language, semantics). Given a data model \mathcal{D} , an assignment η and a guard $\Phi \in \mathcal{L}(\mathcal{D})$ we say that \mathcal{D}, η satisfies Φ , written $\mathcal{D}, \eta \models \Phi$ inductively on the structure of Φ as follows:

- $\mathcal{D}, \eta \models true$;
- $\mathcal{D}, \eta \models def(v)$ iff $v \in img(\eta)$;
- $\mathcal{D}, \eta \models t_1 = t_2$ iff $t_1[\eta], t_2[\eta] \notin \mathcal{V}$ and $t_1[\eta] \equiv t_2[\eta]$;
- $\mathcal{D}, \eta \models t_1 \leq t_2$ iff $t_1[\eta], t_2[\eta] \in \Delta_i$ for some i and $ord(\Delta_i)$ is defined and $t_1[\eta] \leq_{\Delta_i} t_2[\eta]$;
- $\mathcal{D}, \eta \models \neg\Phi$ iff it is not the case that $\mathcal{D}, \eta \models \Phi$;
- $\mathcal{D}, \eta \models \Phi_1 \wedge \Phi_2$ iff $\mathcal{D}, \eta \models \Phi_1$ and $\mathcal{D}, \eta \models \Phi_2$.

B.2 Petri Nets with Data

Definition 30 (DAW-net). A Petri Net with data is a tuple $\langle \mathcal{D}, \mathcal{N}, wr, gd \rangle$ where:

- $\mathcal{N} = \langle P, T, F \rangle$ is a Petri Net;
- $\mathcal{D} = \langle \mathcal{V}, \Delta, dm, ord \rangle$ is a data model;
- $wr : T \mapsto (\mathcal{V}' \mapsto 2^{dm(\mathcal{V})})$, where $\mathcal{V}' \subseteq \mathcal{V}$ and $wr(t)(v) \subseteq dm(v)$ for each $v \in \mathcal{V}'$, is a function that associate each transition to a (partial) function mapping variables to a subset of their domain.
- $gd : T \mapsto \mathcal{L}(\mathcal{D})$ a function that associates a guard expression to each transition.

The definition of wr provides a fine grained description of the way that transitions modify the state of the DAW-net, enabling the description of different cases:

- $\emptyset \subset wr(t)(v) \subseteq dm(v)$: transition t nondeterministically assigns a value from $wr(t)(v)$ to v ;⁹
- $wr(t)(v) = \emptyset$: transition t deletes the value of v (undefined);
- $v \notin dom(wr(t))$: value of v is not modified by transition t .

Definition 31. A state of a DAW-net $\langle \mathcal{D}, \mathcal{N}, wr, gd \rangle$ is a pair (M, η) where M is a marking for $\langle P, T, F \rangle$ and η is an assignment. State transitions and firing are adapted to the additional information about data.

Definition 32 (Valid Firing). Given a DAW-net $\langle \mathcal{D}, \mathcal{N}, wr, gd \rangle$, a firing of a transition $t \in T$ is valid firing in (M, η) resulting in a state (M', η') (written as $(M, \eta) \xrightarrow{t} (M', \eta')$) iff:

- t is enabled in M , i.e., $\{p \in P \mid M(p) > 0\} \supseteq \bullet t$; and
- $\mathcal{D}, \eta \models gd(t)$;
- the marking M' satisfies the property that for every $p \in P$:

$$M'(p) = \begin{cases} M(p) - 1 & \text{if } p \in \bullet t \setminus t \bullet \\ M(p) + 1 & \text{if } p \in t \bullet \setminus \bullet t \\ M(p) & \text{otherwise} \end{cases}$$

- the assignment η' satisfies the properties that its domain is

$$dom(\eta') = dom(\eta) \cup \{v \mid wr(t)(v) \neq \emptyset\} \setminus \{v \mid wr(t)(v) = \emptyset\}$$

and for each $v \in dom(\eta')$:

$$\eta'(v) = \begin{cases} d \in wr(t)(v) & \text{if } v \in dom(wr(t)) \\ \eta(v) & \text{otherwise.} \end{cases}$$

Cases of DAW-net are defined as those of WF-nets, with the only difference that in the initial state the assignment is empty.

⁹ Allowing a subset of $dm(v)$ enables the specification of restrictions for specific tasks, e.g., while a task selects among yes, no, maybe another one can only choose between yes and no.

C Trace completion as Reachability

Within this document we consider the possibility that some of the activities can be observable or not. In the sense that they *might* or *can never* appear in logs. This enables a fine grained information on the different type of activities that compose a process. For example, is common practice in modelling the introduction of transitions for routing purposes (e.g. and-joins) that do not correspond to real activities and as such they would never be observed. On the other end, some activities must be logged by their nature – e.g. a database update – so if they are not observed we can be sure that they never occurred. We use the term *always observable* for transitions that must appear in the logs and *never observable* for those that would never appear in logs; all other transitions may or may not be present in the logs but they may occur in actual cases.

Since the focus of the paper is on the use of planning techniques to provide reasoning services for workflows with data, we decided to omit this aspect for reasons of space.

Definition 33 (Trace). Let $P = \langle \mathcal{D}, \mathcal{N}, wr, gd \rangle$ be a DAW-net. An event of P is a tuple $\langle t, w, w^d \rangle$ where $t \in T$ is a transition, $w \in dm(\mathcal{V})^{\mathcal{V}'}$ – with $\mathcal{V}' \subseteq \mathcal{V}$ and $w(v) \in wr(t)(v)$ for all $v \in \mathcal{V}'$ – is a partial function that represents the variables written by the execution of t , and $w^d \subseteq \mathcal{V}$ the set of variables deleted (undefined) by the execution of t . Obviously, $w^d \cap \mathcal{V}' = \emptyset$.

A trace of P is a finite sequence of events $\tau = (e_1, \dots, e_n)$. In the following we indicate the i -th event of τ as τ^i . Given a set of tasks T , the set of traces is inductively defined as follows:

- ϵ is a trace;
- if τ is a trace and e an event, then $\tau \cdot e$ is a trace.

Definition 34 (Trace Compliance). A (valid) firing $(M, \eta) \xrightarrow{t} (M', \eta')$ is compliant with an event $\langle t', w, w^d \rangle$ iff $t = t'$, $w^d = \{v \mid wr(t')(v) = \emptyset\}$, $dom(\eta') = dom(w) \cup dom(\eta) \setminus w^d$, and for all $v \in dom(w)$ $w(v) = \eta'(v)$.

A case

$$(M_0, \eta_0) \xrightarrow{t_1} (M_1, \eta_1) \dots (M_{k-1}, \eta_{k-1}) \xrightarrow{t_k} (M_k, \eta_k)$$

is compliant with the trace $\tau = (e_1, \dots, e_\ell)$ iff there is an injective mapping γ between $[1 \dots \ell]$ and $[1 \dots k]$ such that:¹⁰

$$\forall i, j \text{ s.t. } 1 \leq i < j \leq \ell \quad \gamma(i) < \gamma(j) \quad (1)$$

$$\forall i \text{ s.t. } 1 \leq i \leq \ell \quad (M_{\gamma(i-1)}, \eta_{\gamma(i-1)}) \xrightarrow{t_{\gamma(i)}} (M_{\gamma(i)}, \eta_{\gamma(i)}) \text{ is compliant with } e_i \quad (2)$$

$$\forall i \text{ s.t. } 1 \leq i \leq k \quad t_i \text{ always observable implies } \exists j \text{ s.t. } \gamma(j) = i \quad (3)$$

We assume that the workflow starts and terminates with special transitions – indicated by $start_t$ and end_t – while $start$ and end denote start place and sink respectively.

Definition 35 (Trace workflow). Let $W = \langle \mathcal{D}, \mathcal{N} = \langle P, T, F \rangle, wr, gd \rangle$ be a DAW-net and $\tau = (e_1, \dots, e_n)$ – where $e_i = \langle t_i, w_i, w_i^d \rangle$ – a trace of W . The trace workflow

¹⁰ If the trace is empty then $\ell = 0$ and γ is empty.

$W^\tau = \langle \mathcal{D}, \mathcal{N}^\tau = \langle P^\tau, T^\tau, F^\tau \rangle, \mathbf{wr}^\tau, \mathbf{gd}^\tau \rangle$ is defined as following:

$$\begin{aligned}
P^\tau &= P \cup \{p_{e_0}\} \cup \{p_e \mid e \in \tau\} && p_{e_0}, p_e \text{ new places} \\
T^\tau &= T \cup \{t_e \mid e \in \tau\} && t_e \text{ new transitions} \\
F^\tau &= F \cup \\
&\quad \{(t_{e_i}, p) \mid i = 1 \dots n, (t_i, p) \in F\} \cup \{(p, t_{e_i}) \mid i = 1 \dots n, (p, t_i) \in F\} \cup \\
&\quad \{(t_{e_i}, p_{e_i}) \mid i = 1 \dots n\} \cup \{(p_{e_{i-1}}, t_{e_i}) \mid i = 1 \dots n\} \cup \{(start_t, p_{e_0}), (p_{e_n}, end_t)\} \\
\mathbf{wr}^\tau(t) &= \begin{cases} \{(v, \{j\}) \mid (v, j) \in w_i\} \cup \{(v, \emptyset) \mid v \in w_i^d\} & \text{for } t = t_{e_i} \\ \mathbf{wr}(t) & \text{for } t \in T \end{cases} \\
\mathbf{gd}^\tau(t) &= \begin{cases} \mathbf{gd}(t_i) & \text{for } t = t_{e_i} \\ \mathbf{false} & \text{for } t \in T \text{ fully observable} \\ \mathbf{gd}(t) & \text{for } t \in T \text{ not fully observable} \end{cases}
\end{aligned}$$

It's not difficult to see that whenever the original DAW-net W is a workflow net, then W^τ is a workflow net as well because the newly introduced nodes are in the path $start, start_t, p_{e_0}, t_{e_1}, p_{e_1}, \dots, t_{e_n}, p_{e_n}, end_t, end$.

To relate cases from W^τ to the original workflow W we introduce a ‘‘projection’’ function Π_τ that maps elements from cases of the enriched workflow to cases using only elements from the original workflow. To simplify the notation we will use the same name to indicate mappings from states, firings and cases.

Definition 36. Let $W = \langle \mathcal{D}, \mathcal{N} = \langle P, T, F \rangle, \mathbf{wr}, \mathbf{gd} \rangle$ be a DAW-net, $\tau = (e_1, \dots, e_n)$ – where $e_i = \langle t_i, w_i, w_i^d \rangle$ a trace of W , and $W^\tau = \langle \mathcal{D}, \mathcal{N}^\tau = \langle P^\tau, T^\tau, F^\tau \rangle, \mathbf{wr}^\tau, \mathbf{gd}^\tau \rangle$ the corresponding trace workflow. The mapping Π_τ is defined as following:

1. let (M', η') be a marking of W^τ , then

$$\Pi_\tau(M') = (M' \cap P \times \mathbb{N})$$

is a state of W ;

2. let (M', η') be a state of W^τ , then

$$\Pi_\tau((M', \eta')) = (\Pi_\tau(M'), \eta')$$

is a state of W ;

3. let t be a transition in T^τ , then

$$\Pi_\tau(t) = \begin{cases} t_i & \text{for } t = t_{e_i} \\ t & \text{for } t \in T \end{cases}$$

4. let $(M, \eta) \xrightarrow{t} (M', \eta')$ be a firing in W^τ , then

$$\Pi_\tau((M, \eta) \xrightarrow{t} (M', \eta')) = \Pi_\tau((M, \eta)) \xrightarrow{\Pi_\tau(t)} \Pi_\tau((M', \eta'))$$

5. let $C = f_0, \dots, f_k$ be a case of W^τ , then

$$\Pi_\tau(C) = \Pi_\tau(f_0), \dots, \Pi_\tau(f_k)$$

In the following we consider a DAW-net $W = \langle \mathcal{D}, \mathcal{N} = \langle P, T, F \rangle, \text{wr}, \text{gd} \rangle$ and a trace $\tau = (e_1, \dots, e_n)$ of W – where $e_i = \langle t_i, w_i, w_i^d \rangle$. Let $W^\tau = \langle \mathcal{D}, \mathcal{N}^\tau = \langle P^\tau, T^\tau, F^\tau \rangle, \text{wr}^\tau, \text{gd}^\tau \rangle$ be the corresponding trace workflow. To simplify the notation, in the following we will use t_{e_0} as a synonymous for start_t and $t_{e_{n+1}}$ as end_t ; as if they were part of the trace.

Lemma 5. *Let C be a case of W^τ , then $\Pi_\tau(C)$ is a case of W .*

Proof. Let $C = (M_0, \eta_0) \xrightarrow{t_1} (M_1, \eta_1) \dots (M_{k-1}, \eta_{k-1}) \xrightarrow{t_k} (M_k, \eta_k)$, to show that $\Pi_\tau(C)$ is a case of W we need to prove that (i) $\Pi_\tau((M_0, \eta_0))$ is an initial state of W and that (ii) the firing $\Pi_\tau((M_{i-1}, \eta_{i-1}) \xrightarrow{t_i} (M_i, \eta_i))$ is valid w.r.t. W for all $1 \leq i \leq n$.

i) By definition $\Pi_\tau((M_0, \eta_0)) = (\Pi_\tau(M_0), \eta')$ and $\Pi_\tau(M_0) \subseteq M_0$. Since the start place is in P , then start is the only place with a token in $\Pi_\tau(M_0)$.

ii) Let consider an arbitrary firing $f_i = (M_{i-1}, \eta_{i-1}) \xrightarrow{t_i} (M_i, \eta_i)$ in C (valid by definition), then $\Pi_\tau(f_i) = (\Pi_\tau(M_{i-1}), \eta_{i-1}) \xrightarrow{\Pi_\tau(t_i)} (\Pi_\tau(M_i), \eta_i)$.

Note that – by construction – $\text{gd}(t_i) = \text{gd}(\Pi_\tau(t_i))$, $\Pi_\tau(t_i)^\bullet = t_i^\bullet \cap P$, $\bullet \Pi_\tau(t_i) = \bullet t_i \cap P$, $\text{dom}(\text{wr}(t_i)) = \text{dom}(\text{wr}(\Pi_\tau(t_i)))$ and $\text{wr}(t_i)(v) \subseteq \text{wr}(\Pi_\tau(t_i))(v)$; therefore

- $\{p \in P^\tau \mid M_{i-1} > 0\} \cap P = \{p \in P \mid \Pi_\tau(M_{i-1}) > 0\} \supseteq \bullet \Pi_\tau(t_i)$ because $\{p \in P^\tau \mid M_{i-1} > 0\} \supseteq \bullet t_i$;
- $\mathcal{D}, \eta \models \text{gd}(\Pi_\tau(t_i))$ because $\mathcal{D}, \eta \models \text{gd}(t_i)$
- for all $p \in P$ $\Pi_\tau(M_j)(p) = M_j(p)$, therefore:

$$M_i(p) = \Pi_\tau(M_i)(p) = \begin{cases} M_{i-1}(p) - 1 = \Pi_\tau(M_{i-1})(p) - 1 & \text{if } p \in \bullet \Pi_\tau(t_i) \setminus \Pi_\tau(t_i)^\bullet \\ M_{i-1}(p) + 1 = \Pi_\tau(M_{i-1})(p) + 1 & \text{if } p \in \Pi_\tau(t_i)^\bullet \setminus \bullet \Pi_\tau(t_i) \\ M_{i-1}(p) = \Pi_\tau(M_{i-1})(p) & \text{otherwise} \end{cases}$$

because f_i is valid w.r.t. W^τ ;

- the assignment η_i satisfies the properties that its domain is

$$\text{dom}(\eta_i) = \text{dom}(\eta_{i-1}) \cup \{v \mid \text{wr}(\Pi_\tau(t_i))(v) \neq \emptyset\} \setminus \{v \mid \text{wr}(\Pi_\tau(t_i))(v) = \emptyset\}$$

and for each $v \in \text{dom}(\eta_i)$:

$$\eta_i(v) = \begin{cases} d \in \text{wr}(t_i)(v) \subseteq \text{wr}(\Pi_\tau(t_i))(v) & \text{if } v \in \text{dom}(\text{wr}(t_i)) = \text{dom}(\text{wr}(\Pi_\tau(t_i))) \\ \eta_{i-1}(v) & \text{otherwise.} \end{cases}$$

because f_i is valid.

Before going into details, we will consider some properties of the “trace” workflow.

Lemma 6. *Let $W = \langle \mathcal{D}, \mathcal{N} = \langle P, T, F \rangle, \text{wr}, \text{gd} \rangle$ be a DAW-net and $\tau = (e_1, \dots, e_n)$ – where $e_i = \langle t_i, w_i, w_i^d \rangle$ – a trace of W . If $C = (M_0, \eta_0) \xrightarrow{t_1} (M_1, \eta_1) \dots (M_{k-1}, \eta_{k-1}) \xrightarrow{t_k} (M_k, \eta_k)$ is a case of W^τ then for all $0 \leq i \leq k$:*

$$\sum_{p \in P^\tau \setminus P} M_i(p) \leq M_0(\text{start})$$

Proof. By induction on the length of C .

- For $k = 1$ then the only executable transition is $start_t$, therefore $t_1 = start_t$ which – by assumption – has two output places and – by construction – $start_t \bullet \setminus P = \{p_{e_0}\}$. Since the firing is valid, then $M_1(p_{e_0}) = M_0(p_{e_0}) + 1 = 1 \leq M_0(start)$.
- Let's assume that the property is true a case C of length n and consider $C' = C(M_n, \eta_n) \xrightarrow{t_{n+1}} (M_{n+1}, \eta_{n+1})$. By construction, each $p \in P^\tau \setminus P$ has a single incoming edge and $\{t \in T^\tau \mid e_i \in t^\bullet\} = \{t_{e_i}\}$ and $\{t \in T^\tau \mid e_i \in \bullet t\} = \{t_{e_{i+1}}\}$. Therefore the only occurrence in which a $p_{e_i} \in P^\tau \setminus P$ can *increase* its value is when $t_{n+1} = t_{e_i}$. Since the transition is valid, then $M_{n+1}(p_{e_i}) = M_n(p_{e_i}) + 1$ and $M_{n+1}(p_{e_{i-1}}) = M_n(p_{e_{i-1}}) - 1$; therefore $\sum_{p \in P^\tau \setminus P} M_i(p) = \sum_{p \in P^\tau \setminus P} M_{i-1}(p) \leq M_0(start)$ – by the inductive hypothesis.

Lemma 7. Let $W = \langle \mathcal{D}, \mathcal{N} = \langle P, T, F \rangle, wr, gd \rangle$ be a DAW-net and $\tau = (e_1, \dots, e_n)$ – where $e_i = \langle t_i, w_i, w_i^d \rangle$ – a trace of W , $C = (M_0, \eta_0) \xrightarrow{t_1} (M_1, \eta_1) \dots (M_{k-1}, \eta_{k-1}) \xrightarrow{t_k} (M_k, \eta_k)$ a case of W^τ , and t_{e_i} is a transition of a firing f_m in C with $1 \leq i \leq n$, then (i) $t_{e_{i-1}}$ is in a transition of a firing in C that precedes f_m , (ii) and if $M_0(start) = 1$ then there is a single occurrence of t_{e_i} in C .

Proof. The proof for the first part follows from the structure of the workflow net; because – by construction – each $p \in P^\tau \setminus P$ has a single incoming edge and $\{t \in T^\tau \mid e_i \in t^\bullet\} = \{t_{e_i}\}$ and $\{t \in T^\tau \mid e_i \in \bullet t\} = \{t_{e_{i+1}}\}$. Since each firing must be valid – if $f_m = (M_{m-1}, \eta_{m-1}) \xrightarrow{t_{e_i}} (M_m, \eta_m)$ is in C , then $M_{m-1}(p_{e_{i-1}}) \geq 1$ and this can only be true if there is a firing $f_r = (M_{r-1}, \eta_{r-1}) \xrightarrow{t_{e_{i-1}}} (M_r, \eta_r)$ in C s.t. $r < m$.

To prove the second part is enough to show that for each $1 \leq i \leq n$, if t_{e_i} appears more than once in C then there must be multiple occurrences of $t_{e_{i-1}}$ as well. In fact, if this is the fact, then we can use the previous part to show that there must be multiple occurrences of $t_{e_0} = start$, and this is only possible if $M_0(start) > 1$.

By contradiction let's assume that there are two firings f_m and $f_{m'}$, with $m < m'$, with the same transition t_{e_i} , but there is only a single occurrence of $t_{e_{i-1}}$ in a firing f_r . Using the previous part of this lemma we conclude that $r < m < m'$, therefore $M_{m-1}(p_{e_{i-1}}) = 1$ because a token could be transferred into $p_{e_{i-1}}$ only by $t_{e_{i-1}}$, so $M_m(p_{e_{i-1}}) = 0$. In the firings between m and m' there are no occurrences of $t_{e_{i-1}}$, so $M_{m'-1}(p_{e_{i-1}}) = M_m(p_{e_{i-1}}) = 0$ which is in contradiction with the assumption that $f_{m'}$ is a valid firing.

Now we're ready to show that the “trace” workflow characterises all and only the cases compliant wrt the given trace. We divide the proof into correctness and completeness.

Lemma 8 (Correctness). Let $C = (M_0, \eta_0) \xrightarrow{t_1} (M_1, \eta_1) \dots (M_{k-1}, \eta_{k-1}) \xrightarrow{t_k} (M_k, \eta_k)$ be a case of W^τ s.t. $M_0(start) = 1$, and $\ell = \max(\{i \mid t_i \text{ is in a firing of } C\} \cup \{0\})$, then the case $\Pi_\tau(C)$ of W is compliant with $\tau' = (e_1, \dots, e_\ell)$ or the empty trace if ℓ is 0.

Proof. By induction on the length of C .

- If $C = (M_0, \eta_0) \xrightarrow{t_1} (M_1, \eta_1)$ then $t_1 = start_t$ because the firing is valid and the only place with a token in M_0 is $start$; therefore $\ell = 0$ and τ' is the empty trace. C trivially satisfy the empty trace because no observable transitions are in $\Pi_\tau(C)$.

- Let $C = (M_0, \eta_0) \xrightarrow{t_1} (M_1, \eta_1) \dots (M_{k-1}, \eta_{k-1}) \xrightarrow{t_k} (M_k, \eta_k)$ s.t. $\Pi_\tau(C)$ is compliant with τ' . Let's consider $C' = C \cdot (M_k, \eta_k) \xrightarrow{t_{k+1}} (M_{k+1}, \eta_{k+1})$: either $t_{k+1} \in T^\tau \setminus T$ or $t_{k+1} \in T$. In the first case $t_{k+1} = t_{e_\ell}$ for some $1 \leq \ell \leq n$, and – by using Lemma 7 – in C there are occurrences of all the t_{e_i} for $1 \leq i < \ell$ and it's the only occurrence of t_{e_ℓ} . This means that $\ell = \max(\{i \mid t_i \text{ is in a firing of } C\} \cup \{0\})$ and we can extend γ to γ' by adding the mapping from ℓ to $k + 1$. The mapping is well defined because of the single occurrence of t_{e_ℓ} . By definition of t_{e_ℓ} , $(M_k, \eta_k) \xrightarrow{t_{k+1}} (M_{k+1}, \eta_{k+1})$ is compliant with e_ℓ and the mapping Π_τ preserve the assignments, therefore $\Pi_\tau(M_k, \eta_k) \xrightarrow{t_{k+1}} (M_{k+1}, \eta_{k+1})$ is compliant with e_ℓ as well. By using the inductive hypothesis we can show that C' is compliant as well. In the second case the mapping is not modified, therefore the inductive hypothesis can be used to provide evidence of the first two conditions for trace compliance of Definition 34. For the third (transitions always observable) it's sufficient to consider that t_{k+1} cannot be always observable because its guard is never satisfiable in W^τ .

Lemma 9 (Completeness). *Let $C = (M_0, \eta_0) \xrightarrow{t_1} (M_1, \eta_1) \dots (M_{k-1}, \eta_{k-1}) \xrightarrow{t_k} (M_k, \eta_k)$ be a case of W compatible with $\tau = (e_1, \dots, e_n)$, then there is a case C' of W^τ s.t. $\Pi_\tau(C') = C$.*

Proof. Since C is compliant with τ , then there is a mapping γ satisfying the conditions of Definition 34. Let $C' = (M'_0, \eta_0) \xrightarrow{t'_1} (M'_1, \eta_1) \dots (M'_{k-1}, \eta_{k-1}) \xrightarrow{t'_k} (M'_k, \eta_k)$ a sequence of firing of W^τ defined as following:

- $M'_0 = M_0 \cup \{(p_{e_i}, 0) \mid 0 \leq i \leq n\}$
- $t'_1 = t_1$ and $M'_1 = M_1 \cup \{(p_{e_j}, 0) \mid 1 \leq j \leq n\} \cup \{(p_{e_0}, 1)\}$
- for each $(M'_{i-1}, \eta_{i-1}) \xrightarrow{t'_i} (M'_i, \eta_i)$, $2 \leq i \leq n$:
 - if there is ℓ s.t. $\gamma(\ell) = i$ then $t'_i = t_{e_\ell}$ and

$$M'_i = M_i \cup \{(p_{e_j}, 0) \mid 0 \leq j \leq n, j \neq \ell\} \cup \{(p_{e_\ell}, 1)\}$$

- otherwise $t'_i = t_i$ and

$$M'_i = M_i \cup (M'_{i-1} \cap (P^\tau \setminus P) \times \mathbb{N})$$

It's not difficult to realise that by construction $\Pi_\tau(C') = C$.

To conclude the proof we need to show that C' is a case of W^τ . Clearly (M'_0, η_0) is a starting state, so we need to show that all the firings are valid. The conditions involving variables – guards and update of the assignment – follows from the fact that the original firings are valid and the newly introduced transitions are restricted according to the trace data.

Conditions on input and output places that are both in W and W^τ are satisfied because of the validity of the original firing. The newly introduced places satisfy the conditions because of the compliance wrt the trace, which guarantees that for each firing with transition t_{e_ℓ} there is the preceding firing with transition $t_{e_{\ell-1}}$ that put a token in the $p_{e_{\ell-1}}$ place.

Theorem 3. Let W be a DAW-net and $\tau = (e_1, \dots, e_n)$ a trace; then W^τ characterises all and only the cases of W compatible with τ . That is

- \Rightarrow if C is a case of W^τ containing t_{e_n} then $\Pi_\tau(C)$ is compatible with τ ; and
- \Leftarrow if C is a case of W compatible with τ , then there is a case C' of W^τ s.t. $\Pi_\tau(C') = C$.

Proof.

- \Rightarrow If C is a case of W^τ containing t_{e_n} , then ℓ of Lemma 8 is n therefore $\tau' = \tau$ and $\Pi_\tau(C)$ is compatible with τ .
- \Leftarrow If C is compatible with τ then by Lemma 9 there is a case C' of W^τ s.t. $\Pi_\tau(C') = C$.

Theorem 4. Let $W = \langle \mathcal{D}, \mathcal{N} = \langle P, T, F \rangle, wr, gd \rangle$ be a DAW-net and $\tau = (e_1, \dots, e_n)$ – where $e_i = \langle t_i, w_i, w_i^d \rangle$ – a trace of W . If W is k -safe then W^τ is k -safe as well.

Proof. We prove the theorem by induction on the length of a case $C = (M_0, \eta_0) \xrightarrow{t_1} (M_1, \eta_1) \dots (M_{k-1}, \eta_{k-1}) \xrightarrow{t_k} (M_k, \eta_k)$. Note that by construction, for any marking M' of W^τ and $p \in P$, $M'(p) = \Pi_\tau(M')(p)$.

- For a case of length 1 the property trivially holds because by definition $M_0(start) \leq k$ and for each $p \in P^\tau$ (different from $start$) $M_0(start) = 0$, and since $(M_0, \eta_0) \xrightarrow{t_1} (M_1, \eta_1)$ is valid the only case in which the number of tokens in a place is increased is for $p \in t_1^\bullet \setminus \bullet t_1$. For any p different from $start$ this becomes $1 \leq k$; while since the $start$ place – by assumption – doesn't have any incoming arc therefore $M_1(start) = M_0(start) - 1 \leq k$.
- For the inductive step we assume that each marking M_0, \dots, M_{m-1} is k -safe. By contradiction we assume that M_m is not k -safe; therefore there is a place $p \in P^\tau$ s.t. $M_m > k$. There are two cases, either $p \in P^\tau \setminus P$ or $p \in P$. In the first case there is a contradiction because, by Lemma 6, $\sum_{p \in P^\tau \setminus P} M_i(p) \leq M_0(start) = k$. In the second case, since $\Pi_\tau(C)$ is a case of W and $\Pi_\tau(M_m)(p) = M_m(p)$, there is a contradiction with the hypothesis that W is k -safe.

D Encoding Reachability as Planning Problem

D.1 Encoding WF-nets behaviour

Let $PN = \langle \mathcal{D}, \mathcal{N} = \langle P, T, F \rangle, wr, gd \rangle$ be a safe DAW-net be a *safe WF-net*, we define the *planning problem* $\Omega(W) = \langle \Pi, D, R, q \rangle$ by introducing a fluent for each place and an action for each task. Execution and causation rules constraint the plan to mimic the behaviour of the petri net.

Declarations

- D contains a fluent declaration p for each place $p \in P$;
- D contains an action declaration t for each task $t \in T$;

Executability rules

- actions are executable if each input place has a token; i.e. for each task $t \in T$, given $\{i_1^t, \dots, i_n^t\} = \bullet t$, there's an executability rule:
executable t if i_1^t, \dots, i_n^t .

Causation rules

- parallelism is disabled; for each pair of tasks $t_1, t_2 \in T$ there's the rule:¹¹
caused false after t_1, t_2 .
- after the execution of a task, input conditions must be “cleared” and tokens moved to the output ones; for each task $t \in T$ and $\{i_1^t, \dots, i_n^t\} = \bullet t \setminus t^\bullet$, $\{o_1^t, \dots, o_k^t\} = t^\bullet$:
caused $-i_1^t$ after t caused $-i_n^t$ after t .
caused o_1^t after t caused o_k^t after t .
- the positive state of the places is inertial (i.e. must be explicitly modified); for each $p \in P$:
caused p if not $-p$ after p .

Initial state

- The only place with a token is the source:
initially: i .

Goal

The formulation of the goal depends on the actual instance of the reachability problem we need to solve. E.g. it can be a specific marking:

- The only place with a token is the sink:
goal: o , not p_1, \dots , not p_k ?
where $\{p_1, \dots, p_k\} = P \setminus \{o\}$.

D.2 Encoding of Data

To each variable $v \in \mathcal{V}$ corresponds to a *inertial* fluent predicate var_v with a single argument “holding” the value of the variable, and a “domain” predicate dom_v representing the domain of the variable. Unset variables have no positive instantiation of the var_v predicate. The predicate var_v must be functional.

We introduce also auxiliary fluents that indicate whether a variable is *not* undefined def_v – used both in tests and to enforce models where the variable is assigned/unassigned – and chng_v to “inhibit” inertia when variables might change because of the result of an action.

¹¹ There's a \mathcal{K} macro to disable concurrency. In practice concurrency could be enabled for actions that do not share input or output places.

Constraints on variables For each variable $v \in \mathcal{V}$:

- functionality
caused false if $\text{var}_v(X), \text{var}_v(Y), X \neq Y$.
- variable defined predicate
caused def_v if $\text{var}_v(X)$.
- variable fluents are inertial unless they can be modified by actions
caused $\text{var}_v(X)$ **if not** $\neg\text{var}_v(X)$, **not chng_v after** $\text{var}_v(X)$.
- the background knowledge (II) includes the set of facts:
 $\text{dom}_{v,t}(d)$.
for each $v \in \mathcal{V}, t \in T$, and $d \in \text{wr}(t)(v)$.

Guards To each task t is associated a fluent grd_t that is true when the corresponding guard is satisfied. Instead of modifying the executability rule including the grd_t among the preconditions, we use a constraint rule ruling out executions of the action whenever its guard is not satisfied:

caused false after t, not grd_t .

This equivalent formulation simplify the proofs because of its incremental nature (there are just additional rules).

Translation of atoms (ξ) is defined in terms of var_v predicates, e.g., $\xi(v = w)$ corresponds to $\text{var}_v(V), \text{var}_w(W), V = W$. The def_v predicate can be used to test whether a variable is defined, or undefined, i.e. **not** def_v .

The guard $\text{gd}(t) = (a_{1,1} \wedge \dots \wedge a_{1,n_1}) \vee \dots \vee (a_{k,1} \wedge \dots \wedge a_{k,n_k})$ where each $a_{i,j}$ is an atom, corresponds to the set of rules for grd_t :¹²

caused grd_t **if** $\xi(a_{1,1}), \dots, \xi(a_{1,n_1})$.

⋮

caused grd_t **if** $\xi(a_{k,1}), \dots, \xi(a_{k,n_k})$.

Variables update The value of a variable is updated by means of causation rules that depend on the task t that operates on the variable:

- $\text{wr}(t)(v) = \emptyset$: delete (undefine) a variable v
caused false if def_v **after t**.
caused chng_v after t.
- $\text{wr}(t)(v) \subseteq \text{dm}(v)$: set v with a value nondeterministically chosen among a set of elements from its domain
caused $\text{var}_v(V)$ **if** $\text{dom}_{v,t}(V)$, **not** $\neg\text{var}_v(V)$ **after t**.
caused $\neg\text{var}_v(V)$ **if** $\text{dom}_{v,t}(V)$, **not** $\text{var}_v(V)$ **after t**.
caused chng_v after t.
caused false if not def_v **after t**.

If $\text{wr}(t)(v)$ contains a single element e , then there the assignment is deterministic and the above rules can be substituted with¹³

¹² Arbitrary expressions can be easily translated by introducing new fluents for the subexpressions.

¹³ The deterministic version is a specific case of the non-deterministic ones and equivalent in the case that there is a single $\text{dom}_{v,t}(d)$ fact. In the following, the proofs will consider the general non-deterministic formulation only.

caused $\text{var}_v(d)$ **after** t .
caused chng_v **after** t .

Guards To each subformula φ of transition guards is associated a fluent grd_φ that is true when the corresponding formula is satisfied. To simplify the notation, for any transition t , we will use grd_t to indicate the fluent $\text{grd}_{\text{gd}(t)}$.

Executability of transitions is conditioned to the satisfiability of their guards:

caused false **after** t , **not** grd_t .

Translation of atoms (ξ) is defined in terms of var_v predicates. We assume a binary ord predicate representing the partial order among the elements of the domains. We also assume that elements of $\bigcup_i \Delta_i$ can be directly represented by constants of \mathcal{K} language.

For $t \in \mathcal{V} \cup \bigcup_i \Delta_i$ and T a \mathcal{K} variable we define

$$\xi(t, T) = \begin{cases} \text{var}_i(T) & \text{for } t \in \mathcal{V} \\ T == t & \text{for } t \in \bigcup_i \Delta_i \end{cases}$$

For each subformula φ of transition guards a static rule is included to “define” the

fluent grd_φ :

$\text{true} : \mathbf{caused} \text{grd}_\varphi \mathbf{if} \text{true} .$
 $\text{def}(v) : \mathbf{caused} \text{grd}_\varphi \mathbf{if} \text{def}_v .$
 $t_1 = t_2 : \mathbf{caused} \text{grd}_\varphi \mathbf{if} \xi(t_1, T1), \xi(t_2, T2), T1 == T2 .$
 $t_1 \leq t_2 : \mathbf{caused} \text{grd}_\varphi \mathbf{if} \xi(t_1, T1), \xi(t_2, T2), \text{ord}(T1, T2) .$
 $\neg \varphi_1 : \mathbf{caused} \text{grd}_\varphi \mathbf{if} \mathbf{not} \text{grd}_{\varphi_1} .$
 $\varphi_1 \wedge \dots \wedge \varphi_n : \mathbf{caused} \text{grd}_\varphi \mathbf{if} \text{grd}_{\varphi_1}, \dots, \text{grd}_{\varphi_n} .$

D.3 Correctness and completeness

Definition 37 ($\Phi(\cdot)$ function). Let $W = \langle \mathcal{D}, \mathcal{N} = \langle P, T, F \rangle, wr, gd \rangle$ be a safe DAW-net, \mathcal{M} the set of its markings, \mathcal{H} the set of all assignments, $\Omega(W)$ the corresponding planning problem and \mathcal{S} the set of its states, namely, the set of all consistent set of ground fluent literals. We define the function $\Phi(\cdot) : \mathcal{S} \rightarrow \mathcal{M} \times \mathcal{H}$ mapping planning and DAW-net states. For any consistent $s \in \mathcal{S}$, $\Phi(s) = (M, \eta)$ is defined as follows:

$$\forall p \in P \ M(p) = \begin{cases} 1 & \text{if } p \in s \\ 0 & \text{otherwise} \end{cases}$$

$$\eta = \{(v, d) \mid \text{var}_v(d) \in s\}$$

The function $\Phi(\cdot)$ is well defined because s is assumed to be consistent therefore it cannot be the case that $\{\text{var}_v(d), \text{var}_v(d')\} \subseteq s$ with $d \neq d'$ otherwise the static rule

caused false **if** $\text{var}_v(X), \text{var}_v(Y), X != Y$.

would not be satisfied.

Moreover, since we assume that W is safe, we can restrict \mathcal{M} to markings with range restricted to $\{0, 1\}$ and there is not loss of information between markings and planing states.

The function $\Phi(\cdot)$ is not injective because of the *strongly negated atoms*. However it can be shown that if two states differ on the *positive* atoms then the corresponding DAW-net states are different as well:

Lemma 10. *Let s and s' consistent states in S , then $s \cap L^+ \neq s' \cap L^+$ implies $\Phi(s) \neq \Phi(s')$.*

Observing the static rules (those without the **after** part) it can be noted those defining the predicates def_v and grd_t are stratified, therefore their truth assignment depends only on the extension of $\text{var}_v(\cdot)$ predicates. This fact can be used to show that

Lemma 11 (Guards translation). *Let $s \in S$ satisfying the static rules of $\Omega(W)$, and φ a subformula of transition guards in W . Given $\Phi(s) = (M, \eta)$, $\text{grd}_\varphi \in s$ iff $\mathcal{D}, \eta \models \varphi$.*

Proof. We prove the lemma by structural induction on φ . First we consider the base cases.

true : trivially satisfied because **true** is in consistent state.

def(v) : the only rule where def_v is in the head is

caused def_v **if** $\text{var}_v(X)$.

therefore $\text{def}_v \in s$ iff there is a constant d s.t. $\text{var}_v(d) \in s$, and that is the case iff $v \in \text{dom}(\eta)$.

$t_1 = t_2$: for the sake of simplicity we consider only the case in which $t_1 \equiv v$ is a variable and $t_2 \equiv d$ is a constant; the other 3 combinations can be demonstrated in the same way. With this assumption, the only rule with grd_φ in the head is

caused grd_φ **if** $\text{var}_v(T1), T2 == d, T1 == T2$.

therefore $\text{grd}_\varphi \in s$ iff $\text{var}_v(d) \in s$, and this is the case iff $\eta(v) = d$.

$t_1 \leq t_2$: this case is analogous to the previous one, where we consider the predicate $\text{ord}(T1, T2)$ instead of equality. Since ord facts correspond to the orders defined in \mathcal{D} , then we can conclude.

For the inductive step we assume that the property holds for subformulae φ_1, φ_2 .

$\neg\varphi_1$: the only rule with grd_φ in the head is

caused grd_φ **if not** grd_{φ_1} .

therefore $\text{grd}_\varphi \in s$ iff $\text{grd}_{\varphi_1} \notin s$. We can use the inductive hypothesis to conclude that this is the case iff $\mathcal{D}, \eta \not\models \varphi_1$, that is $\mathcal{D}, \eta \models \varphi$.

$\varphi_1 \wedge \dots \wedge \varphi_n$: the only rule with grd_φ in the head is

caused grd_φ **if** $\text{grd}_{\varphi_1}, \dots, \text{grd}_{\varphi_n}$.

therefore $\text{grd}_\varphi \in s$ iff $\{\text{grd}_{\varphi_1}, \dots, \text{grd}_{\varphi_n}\} \subseteq s$. We can use the inductive hypothesis to show that this is the case iff $\mathcal{D}, \eta \models \varphi_1 \wedge \dots \wedge \varphi_n$ because they are all ground terms.

Looking at the guard translation rules and the proof of Lemma 11 it is not difficult to realise that according to the structure of the guards some of the rules are redundant and can be simplified. E.g. def_v can be used in place of $\text{grd}_{\text{def}_v}$, **not** grd_φ in place of $\text{grd}_{\text{def}_{\neg\varphi}}$, and $t_1 = t_2$ can be expanded in place of $\text{grd}_{t_1=t_2}$ unless they are in the scope of a negation.

Lemma 12 (Completeness). *Let W be a safe DAW-net and $\Omega(W)$ the corresponding planning problem.*

Let $(M, \eta) \xrightarrow{t} (M', \eta')$ be a valid firing of W , then for each consistent state s s.t. $\Phi(s) = M$ there is a consistent state s' s.t. $\Phi(s') = M'$ and $\langle s, \{t\}, s' \rangle$ is a legal transition in $\Omega(W)$.

Proof. Let s be a consistent state s.t. $\Phi(s) = M$. Note that such s exists because $\Phi(\cdot)$ involves only the positive literals; therefore any consistent set s' s.t. $\{p \in P \mid M(p) > 0\} \cup \{\text{var}_v(d) \mid (v, d) \in \eta\} \subseteq s'$ and $s' \cap (\{p \in P \mid M(p) < 1\} \cup \mathcal{V} \times \text{dm}(\mathcal{V}) \setminus \eta)$ satisfies the property that $\Phi(s') = M$.

We define a new state s' such that $\langle s, \{t\}, s' \rangle$ is a legal state transition and such that $\Phi(s') = M'$; this new state is the union of the following parts:

$$\begin{aligned}
s'_{P+} &= \{p \in P \mid M'(p) > 0\} & s'_{P-} &= \{-p \mid p \in \bullet t \setminus t^\bullet\} \\
s'_{\mathcal{V}+} &= \{\text{var}_v(d) \mid (v, d) \in \eta'\} & s'_{\mathcal{V}-} &= \{-\text{var}_v(d) \mid d \in \text{wr}(t)(v), (v, d) \notin \eta'\} \\
s'_{\mathcal{V}\downarrow} &= \{\text{def}_v \mid \text{var}_v(d) \in s'_{\mathcal{V}+}\} \\
s'_{\mathcal{V}c} &= \{\text{chng}_v \mid v \in \text{dom}(\text{wr}(t))\} \\
s'_{\text{wr}} &= \{\text{dom}_{v,t}(d) \mid \forall v, t, d. d \in \text{wr}(t)(v)\} \\
s'_{\text{gd}} &= \{\text{grd}_t \mid \forall t. M, \eta \models \text{gd}(t)\}
\end{aligned}$$

By construction $\Phi(s') = M$ and it is consistent: $s'_{P+} \cap s'_{P-} = \emptyset$ because the fact that $(M, \eta) \xrightarrow{t} (M', \eta')$ is a valid firing implies $p \in \bullet t \setminus t^\bullet \implies M'(p) = 0$, and $s'_{\mathcal{V}+} \cap s'_{\mathcal{V}-} = \emptyset$ because their conditions are mutually exclusive.

Since $(M, \eta) \xrightarrow{t} (M', \eta')$ is valid, then $\bullet t \subseteq s$ because $\Phi(s) = M$, therefore the corresponding executable condition with t in the head

executable t if i_1^t, \dots, i_n^t .

is satisfied.

We need to show that all the causation rules in $\Omega(W)^{\langle s, \{t\}, s' \rangle}$ are satisfied and that s' is minimal.

– For each pair of tasks t_1, t_2 , the positive rule:

caused false after t_1, t_2 .

is satisfied because there is only a task t in the action set.

– Consider the rules

caused $-i_1^a$ **after** a **caused** $-i_n^a$ **after** a .

caused o_1^a **after** a **caused** o_k^a **after** a .

where $\{i_1^a, \dots, i_n^a\} = \bullet a \setminus a^\bullet$, $\{o_1^a, \dots, o_k^a\} = a^\bullet \setminus \bullet a$. For all $a \neq t$ they are satisfied because the **after** condition is false. For $a = t$ the validity of $(M, \eta) \xrightarrow{t} (M', \eta')$ ensures that $\bullet t \setminus t^\bullet \subseteq s'_{P-}$ and $t^\bullet \subseteq s'_{P+}$, therefore the rules are satisfied.

– For each $p \in P$:

caused p **if not** $-p$ **after** p .

we consider the three cases where $p \in \bullet t \setminus t^\bullet$, $p \in t^\bullet$, or $p \notin (\bullet t \cup t^\bullet)$.

$p \in \bullet t \setminus t^\bullet$ then $-p \in s'_{P-}$ by construction, therefore the rule is not in $\Omega(W)^{\langle s, \{t\}, s' \rangle}$

$p \in t^\bullet$ then $M'(p) = 1$ and by construction $p \in s'_{P+}$ and $-p \notin s'_{P+}$ because s' is consistent, so the rule **caused** p **after** p . is in $\Omega(W)^{\langle s, \{t\}, s' \rangle}$. This rule is satisfied if $p \in s$ and also if $p \notin s$.

$p \notin (\bullet t \cup t^\bullet)$ then $M'(p) = M(p)$. If $p \in s'_{P+}$ the rule **caused** p **after** p . is in $\Omega(W)^{\langle s, \{t\}, s' \rangle}$ it's satisfied regardless of the value of $M(p)$; on the other end, if $p \notin s'$ then $M(p) = 0$ therefore even if **caused** p **after** p . would be in $\Omega(W)^{\langle s, \{t\}, s' \rangle}$ then it'd be satisfied because its after part is false.

- Functionality rules
 - caused false if** $\text{var}_v(X), \text{var}_v(Y), X \neq Y$.
 - is satisfied by construction of s'_{V+}
- Variable defined predicate rules
 - caused def_v if** $\text{var}_v(X)$.
 - are satisfied by construction of $s'_{V\downarrow}$.
- variable fluents are inertial
- The background knowledge facts
 - $\text{dom}_{v,t}(d)$.
 - are satisfied by construction of s'_{wr}
- The guard predicates rules are satisfied by Lemma 11 and the construction of s'_{gd} .

For rules involving the var_v predicates (including inertiality rules) we consider the three cases: $v \notin \text{dom}(\text{wr}(t))$, $\text{wr}(t)(v) = \emptyset$, and $\text{wr}(t)(v) \neq \emptyset$. Note that, since the transition includes only t , all the rules in $\Omega(W)^{\langle s, \{t\}, s' \rangle}$ with a different action in the **after** part are satisfied; therefore we focus on the remaining ones.

$v \notin \text{dom}(\text{wr}(t))$: in this case the only rule in $\Omega(W)^{\langle s, \{t\}, s' \rangle}$ to verify is the inertial one

caused $\text{var}_v(X)$ **if not** $-\text{var}_v(X)$, **not** chng_v **after** $\text{var}_v(X)$.

and by construction $-\text{var}_v(d) \notin s'_{V-}$ for any d and $\text{chng}_v \notin s'_{Vc}$. This would be not satisfied only in the case that for some d $\text{var}_v(d) \in s$ and $\text{var}_v(d) \notin s$ – which means that $(v, d) \in \eta$ and $(v, d) \notin \eta$ – but this would be in contradiction with the fact that $(M, \eta) \xrightarrow{t} (M', \eta')$ is a valid firing.

$\text{wr}(t)(v) = \emptyset$: in this case the corresponding rules are

caused false if def_v **after** t .

caused chng_v **after** t .

caused $\text{var}_v(X)$ **if not** $-\text{var}_v(X)$, **not** chng_v **after** $\text{var}_v(X)$.

Since there is no d s.t. $(v, d) \in \eta'$ then $\text{var}_v(d') \notin s'_{V+}$ for any d' , therefore $\text{def}_v \notin s'_{V\downarrow}$ and the first rule is satisfied. The second rule is satisfied by construction of s'_{Vc} , and the third is not be in $\Omega(W)^{\langle s, \{t\}, s' \rangle}$ because $\text{chng}_v \in s'_{Vc}$.

$\text{wr}(t)(v) \neq \emptyset$: the rules are

caused $\text{var}_v(V)$ **if** $\text{dom}_{v,t}(V)$, **not** $-\text{var}_v(V)$ **after** t .

caused $-\text{var}_v(V)$ **if** $\text{dom}_{v,t}(V)$, **not** $\text{var}_v(V)$ **after** t .

caused chng_v **after** t .

caused false if not def_v **after** t .

caused $\text{var}_v(X)$ **if not** $-\text{var}_v(X)$, **not** chng_v **after** $\text{var}_v(X)$.

The first two rules are satisfied by construction of s'_{V+} and s'_{V-} , while the third by s'_{Vc} . The fourth because of the fact that the firing is valid, therefore there is a value $d \in \text{wr}(t)(v)$ s.t. $(v, d) \in \eta'$, so $\text{var}_v(d) \in s'_{V+}$ and $\text{def}_v \in s'_{V\downarrow}$. Last rule is not in $\Omega(W)^{\langle s, \{t\}, s' \rangle}$ because $\text{chng}_v \in s'_{Vc}$.

To demonstrate the minimality of s' we need to show that removing one literal from any of the components $s'_{P+}, s'_{P-}, s'_{V+}, s'_{V-}, s'_{V\downarrow}, s'_{Vc}, s'_{wr}, s'_{gd}$ results in some of the rules not being satisfied.

s'_{P+} any $p \in s'_{P+}$ is either in t^\bullet or not. In the first case removing it would not satisfy the rule

caused p after t .

while in the second it would not satisfy the inertial rule

caused p if not $-p$ after p .

because $-p \notin s'_{P-}$ and $p \in s$ since the firing is valid.

s'_{P-} removing $-p$ from s'_{P-} would not satisfy the rule

caused $-p$ after t .

s'_{wr} removing $\text{dom}_{v,t}(d)$ from s'_{wr} would not satisfy the rule

$\text{dom}_{v,t}(d)$.

s'_{V+} let be $\text{var}_v(d) \in s'_{V+}$: either $v \in \text{dom}(wr(t))$ or not. In the first case the rule

caused $\text{var}_v(d)$ if $\text{dom}_{v,t}(d)$, not $-\text{var}_v(d)$ after t .

would not be satisfied because $-\text{var}_v(d) \notin s'_{V-}$ since by assumption $(v, d) \in \eta'$. In the second case the inertial rule

caused $\text{var}_v(d)$ if not $-\text{var}_v(d)$, not chng_v after $\text{var}_v(d)$.

would not be satisfied because $-\text{var}_v(d) \notin s'_{V-}$, $\text{chng}_v \notin s'_{Vc}$, and $\text{var}_v(d) \in s$ since the firing is valid.

s'_{V-} removing $-\text{var}_v(d)$ from s'_{V-} would not satisfy rule

caused $-\text{var}_v(d)$ if $\text{dom}_{v,t}(d)$, not $\text{var}_v(d)$ after t .

because $\text{var}_v(d) \notin s'_{V+}$ since $(v, d) \notin \eta'$.

$s'_{V\downarrow}$ removing any of the $\text{def}_{v'} \in \{\text{def}_v \mid \text{var}_v(d) \in s'_{V+}\}$ would contradict one of the rules

caused $\text{def}_{v'}$ if $\text{var}_{v'}(d)$.

since there is a an element d' s.t. $\text{var}_{v'}(d') \in s'_{V+}$

s'_{Vc} removing any $\text{chng}_{v'} \in \{\text{chng}_v \mid v \in \text{dom}(wr(t))\}$ since $v' \in \text{dom}(wr(t))$, so therefore there is the rule

caused $\text{chng}_{v'}$ after t .

that would not be satisfied.

s'_{gd} removing grd_t from s'_{gd} would contradict one of the guard rules according to Lemma 11.

Lemma 13 (Correctness). *Let W be a safe DAW-net and $\Omega(W)$ the corresponding planning problem.*

If $\langle s, \{t\}, s' \rangle$ is a legal transition in $\Omega(W)$, then $\Phi(s) \xrightarrow{t} \Phi(s')$ is a valid firing of W .

Proof. Let $(M, \eta) = \Phi(s)$ and $(M', \eta') = \Phi(s')$; to show that $\Phi(s) \xrightarrow{t} \Phi(s')$ is a valid firing of W (see Definition 32) we need to show that:

1. t is enabled in M , i.e., $\{p \in P \mid M(p) > 0\} \supseteq \bullet t$; and
2. $\mathcal{D}, \eta \models \text{gd}(t)$;
3. the marking M' satisfies the property that for every $p \in P$:

$$M'(p) = \begin{cases} M(p) - 1 & \text{if } p \in \bullet t \setminus t^\bullet \\ M(p) + 1 & \text{if } p \in t^\bullet \setminus \bullet t \\ M(p) & \text{otherwise} \end{cases}$$

4. the assignment η' satisfies the properties that its domain is

$$\text{dom}(\eta') = \text{dom}(\eta) \cup \{v \mid \text{wr}(t)(v) \neq \emptyset\} \setminus \{v \mid \text{wr}(t)(v) = \emptyset\}$$

and for each $v \in \text{dom}(\eta')$:

$$\eta'(v) = \begin{cases} d \in \text{wr}(t)(v) & \text{if } v \in \text{dom}(\text{wr}(t)) \\ \eta(v) & \text{otherwise.} \end{cases}$$

Since $\langle s, \{t\}, s' \rangle$ is a legal transition, then the action t must be executable, therefore the rule:

executable t if i_1^t, \dots, i_n^t .

with $\{i_1^t, \dots, i_n^t\} = \bullet t$ must be satisfied in s , that is $\bullet t \subseteq s$ and $M(i_j^t) = 1$ for $1 \leq j \leq n$.

Since $\langle s, \{t\}, s' \rangle$ is a legal transition, then the rule:

caused false after t , not grd_t .

must be satisfied, therefore its body should be false. This means that $\text{grd}_t \in s$ and by using Lemma 11 we can conclude that $\mathcal{D}, \eta \models \text{gd}(t)$.

To verify the condition on M' , for each $p \in P$ we consider the three cases:

$p \in \bullet t \setminus t^\bullet$ then in $\Omega(W)$ there is the rule

caused $-p$ after t .

therefore $p \notin s'$ and $\Phi(s')(p) = 0$

$p \in t^\bullet \setminus \bullet t$ then in $\Omega(W)$ there is the rule

caused p after t .

therefore $p \in s'$ and $\Phi(s')(p) = 1$

$p \notin (\bullet t \setminus t^\bullet) \cup (t^\bullet \setminus \bullet t)$ in this case none of the bodies of rules with p (or $-p$) in the head and an action in the body are satisfied because the only executed action is t . Therefore the only “active” rule having p (or $-p$) in the head can be the “inertial” one for the positive atom:

caused p if not $-p$ after p .

Since rules with $-p$ in the head have their bodies falsified $-p \notin s'$. This means that the rule **caused p after p** is in $\Omega(W)^{\langle s, \{t\}, s' \rangle}$.

If $\Phi(s')(p) = 0$ then $p \notin s'$ therefore $p \notin s$ otherwise the inertial rule would not be satisfied; so $\Phi(s)(p) = 0$.

If $\Phi(s')(p) = 1$ and $\Phi(s)(p) = 0$, then s' would not be minimal because $s' \setminus \{p\}$ satisfies the only “active” rule with p in the head, therefore $\Phi(s)(p) = 1$.

Now we verify the conditions on η' and for each $v \in \mathcal{V}$ we consider three distinct cases: $v \notin \text{dom}(\text{wr}(t))$, $\text{wr}(t)(v) = \emptyset$, and $\text{wr}(t)(v) \neq \emptyset$. First we should note that $\text{chng}_v \in s'$ iff $v \in \text{dom}(\text{wr}(t))$, therefore only in the two latter cases where the inertial rule

caused $\text{var}_v(X)$ if not $-\text{var}_v(X)$, not chng_v after $\text{var}_v(X)$.

would not be in $\Omega(W)^{\langle s, \{t\}, s' \rangle}$.

$v \notin \text{dom}(\text{wr}(t))$: In this case, the only *active* rule where $\text{var}_v(\cdot)$ appears in the head is the inertial

caused $\text{var}_v(X)$ **if not** $-\text{var}_v(X)$, **not chng}_v **after** $\text{var}_v(X)$.**

while there are no rules with $-\text{var}_v(\cdot)$ in the head, because for all actions $t' \neq t$ are “false” in s . Therefore $-\text{var}_v(d) \notin s$ and $\text{chng}_v \notin s'$ so $\text{var}_v(d) \in s'$ iff $\text{var}_v(d) \in s$. This means that $v \in \text{dom}(\eta')$ iff $v \in \text{dom}(\eta)$, and $v \in \text{dom}(\eta)$ implies that $\eta'(v) = \eta(v)$.

wr(t)(v) = \emptyset : in this case if $\text{var}_v(d) \in s'$ for some d , then $\text{def}_v \in s'$ as well; therefore the rule

caused false **if** def_v **after** t .

caused chng_v **after** t .

would not be satisfied contradicting the hypothesis that $\langle s, \{t\}, s' \rangle$ is a legal transition.

wr(t)(v) $\neq \emptyset$: in this case $\Omega(W)$ contains the rules

caused $\text{var}_v(V)$ **if** $\text{dom}_{v,t}(V)$, **not** $-\text{var}_v(V)$ **after** t .

caused $-\text{var}_v(V)$ **if** $\text{dom}_{v,t}(V)$, **not** $\text{var}_v(V)$ **after** t .

caused false **if not** def_v **after** t .

caused chng_v **after** t .

Since $\text{def}_v \in s'$ otherwise the third rule would not be satisfied, there there must be a d s.t. $\text{var}_v(d) \in s'$, and this means that $v \in \text{dom}(\eta')$. Let assume that $d \notin \text{wr}(t)(v)$, then it means that $\text{dom}_{v,t}(d) \notin s'$ therefore none of the rules with $\text{var}_v(d)$ in the head would be satisfied in $\Omega(W)^{\langle s, \{t\}, s' \rangle}$ that contradicts the minimality of s' .

The analysis of the three cases confirms that the fourth condition is satisfied as well.

Theorem 5. *Let W be a safe WF-net and $\Omega(W)$ the corresponding planning problem. Let (M_0, η_0) be the initial state of W – i.e. with a single token in the source and no assignments – and s_0 the planning state satisfying the initial condition.*

(\Rightarrow) *For any case*

$$\zeta : (M_0, \eta_0) \xrightarrow{t_1} (M_1, \eta_1) \dots (M_{n-1}, \eta_{n-1}) \xrightarrow{t_n} (M_n, \eta_n)$$

in W there is a trajectory in $\Omega(W)$

$$\eta : \langle s_0, \{t_1\}, s_1 \rangle, \dots, \langle s_{n-1}, \{t_n\}, s_n \rangle$$

such that $(M_i, \eta_i) = \Phi(s_i)$ for each $i \in \{0 \dots n\}$ and vice versa.

(\Leftarrow) *For each trajectory*

$$\eta : \langle s_0, \{t_1\}, s_1 \rangle, \dots, \langle s_{n-1}, \{t_n\}, s_n \rangle$$

in $\Omega(W)$ the sequence of firings

$$\zeta : \Phi(s_0) \xrightarrow{t_1} \Phi(s_1) \dots \Phi(s_{n-1}) \xrightarrow{t_n} \Phi(s_n)$$

is a case of W .

Proof. We first prove the left-to-right direction by induction on the length of the case.

- Base case: by construction, $\Phi(s_0) = (M_0, \eta_0)$ because of the structure of the initial state.
- Inductive case: we consider a case of size $n + 1$. By inductive hypothesis, for the case $(M_0, \eta_0) \xrightarrow{t_1} (M_1, \eta_1) \dots (M_{n-1}, \eta_{n-1}) \xrightarrow{t_n} (M_n, \eta_n)$ there is a trajectory $\langle s_0, \{t_1\}, s_1 \rangle, \dots, \langle s_{n-1}, \{t_n\}, s_n \rangle$ s.t. $\Phi(s_i) = M_i$ for each $i \in \{0 \dots n\}$. Since s_n is consistent and $\Phi(s_n) = (M_n, \eta_n)$, by Lemma 12, there is a state s_{n+1} s.t. $\langle s_n, \{t_n\}, s_{n+1} \rangle$ is a legal transition and $\Phi(s_{n+1}) = (M_n, \eta_n)$ thus proving the claim.

The right-to-left direction can be proved – in the same way as the other case – by induction on the length trajectories by using the Lemma 13.

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