

SpringerBriefs in Computer Science

Series editors

Stan Zdonik, Brown University, Providence, Rhode Island, USA

Shashi Shekhar, University of Minnesota, Minneapolis, Minnesota, USA

Xindong Wu, University of Vermont, Burlington, Vermont, USA

Lakhmi C. Jain, University of South Australia, Adelaide, South Australia, Australia

David Padua, University of Illinois Urbana-Champaign, Urbana, Illinois, USA

Xuemin Sherman Shen, University of Waterloo, Waterloo, Ontario, Canada

Borko Furht, Florida Atlantic University, Boca Raton, Florida, USA

V. S. Subrahmanian, University of Maryland, College Park, Maryland, USA

Martial Hebert, Carnegie Mellon University, Pittsburgh, Pennsylvania, USA

Katsushi Ikeuchi, University of Tokyo, Tokyo, Japan

Bruno Siciliano, Università di Napoli Federico II, Napoli, Italy

Sushil Jajodia, George Mason University, Fairfax, Virginia, USA

Newton Lee, Newton Lee Laboratories, LLC, Tujunga, California, USA

SpringerBriefs present concise summaries of cutting-edge research and practical applications across a wide spectrum of fields. Featuring compact volumes of 50 to 125 pages, the series covers a range of content from professional to academic.

Typical topics might include:

- A timely report of state-of-the art analytical techniques
- A bridge between new research results, as published in journal articles, and a contextual literature review
- A snapshot of a hot or emerging topic
- An in-depth case study or clinical example
- A presentation of core concepts that students must understand in order to make independent contributions

Briefs allow authors to present their ideas and readers to absorb them with minimal time investment. Briefs will be published as part of Springer's eBook collection, with millions of users worldwide. In addition, Briefs will be available for individual print and electronic purchase. Briefs are characterized by fast, global electronic dissemination, standard publishing contracts, easy-to-use manuscript preparation and formatting guidelines, and expedited production schedules. We aim for publication 8–12 weeks after acceptance. Both solicited and unsolicited manuscripts are considered for publication in this series.

More information about this series at <http://www.springer.com/series/10028>

Jie Hu · Kun Yang

Data and Energy Integrated Communication Networks

A Brief Introduction



Springer

Jie Hu
School of Information and Communication
Engineering
University of Electronic Science and
Technology of China
Chengdu, Sichuan
China

Kun Yang
School of Computer Science and Electronic
Engineering
University of Essex
Colchester
UK

ISSN 2191-5768

ISSN 2191-5776 (electronic)

SpringerBriefs in Computer Science

ISBN 978-981-13-0115-5

ISBN 978-981-13-0116-2 (eBook)

<https://doi.org/10.1007/978-981-13-0116-2>

Library of Congress Control Number: 2018943388

© The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd., part of Springer Nature 2018

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, express or implied, with respect to the material contained herein or for any errors or omissions that may have been made. The publisher remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Printed on acid-free paper

This Springer imprint is published by the registered company Springer Nature Singapore Pte Ltd. The registered company address is: 152 Beach Road, #21-01/04 Gateway East, Singapore 189721, Singapore

Preface

In order to satisfy the power thirsty of communication devices in the imminent fifth-generation (5G) and Internet of Things (IoT) era, wireless charging techniques have attracted much attention both from the academic and industrial communities. Although the inductive coupling and magnetic resonance based charging techniques are indeed capable of supplying energy in a wireless manner, they tend to restrict the freedom of movement. By contrast, RF signals are capable of supplying energy over distances, which are gradually inclining closer to our ultimate goal—charging anytime and anywhere. Furthermore, transmitters capable of emitting RF signals have been widely deployed, in TV towers, cellular base stations and WiFi access points. This communication infrastructure may indeed be employed also for wireless energy transfer (WET). Therefore, no extra investment in a dedicated WET infrastructure is required. However, allowing radio frequency (RF) signal based wireless energy transfer (WET) may impair the wireless information transfer (WIT) operating in the same spectrum. Hence, it is crucial to coordinate and balance WET and WIT for simultaneous wireless information and power transfer (SWIPT), which evolves to data and energy integrated communication networks (DEINs). This brief aims for providing a landscape picture of DEINs, while including latest research contributions in this promising topic.

To this end, we first provide an overview of DEIN in Chap. 1. We will look into the energy shortage of the electronic devices, compare the popular wireless charging techniques with one another and highlight the RF signal based WET and its distinctive features against the conventional wireless communication in the same spectral bands. Then, we will describe the ubiquitous architecture of DEINs.

In Chap. 2, we will focus on the fundamental of the physical layer for implementing the integrated WET and WIT of the point-to-point link. Key enabling modules of the generic transceiver architecture for the integrated WET and WIT will be introduced. Then, we will introduce several popular receivers equipped with multiple antennas for simultaneously information and energy reception, namely the ideal receiver, the spatial splitting based receiver, the power splitting based receiver and the time switching based receiver.

In Chap. 3, we consider a typical DEIN system consisting of a single H-BS and multiple DEIN users, who are eager to receive both information and energy simultaneously during the downlink transmission of the H-BS. The DEIN users then exploit the energy harvested from the downlink for powering their own uplink transmission. Both the downlink and uplink transmissions are time slotted in order to reduce the potential interference and transmission collision among the multiple users. Optimal time slot allocation schemes in the MAC layer are proposed for maximising the sum-throughput and the fair-throughput of the DEIN users' uplink transmissions, respectively.

In Chap. 4, a full-duplex aided H-BS is conceived in a multi-user DEIN for the sake of simultaneously transferring energy during its downlink transmission, while receiving the information uploaded by the multiple users. The uplink transmissions of the multiple users are powered by the energy harvested from the H-BS's downlink energy broadcast. In this full-duplex aided DEIN, a joint time allocation and user scheduling algorithm is proposed for the sake of maximising the sum-throughput of the users' uplink transmissions by further considering the users' actual information uploading requirements.

Finally, we conclude this brief in Chap. 5 by providing some emerging research topics in the DEIN.

This brief aims for boosting the joint effort from both the academia and industry so as to push the DEIN a step closer to the practical implementation. It is also suitable for the undergraduate/postgraduate students to be familiar with this cutting-edge technique.

We would like to thank Mr. Yizhe Zhao and Mr. Kesi Lv for their tremendous contribution to Chaps. 2–5. We would also like to thank Prof. Xuemin (Sherman) Shen, University of Waterloo, for his outstanding editorial organisation of this influential series in the computer science. The financial support of the National Natural Science Foundation of China (NSFC), Grant No. 61601097, U1705263, and 61620106011, as well as that of Fundamental Research Funds for the Central Universities, Grant No. ZYGX2016Z011 are gratefully acknowledged. This work is also sponsored by Huawei Innovative Research Program (HIRP).

Chengdu, China
Colchester, UK

Jie Hu
Kun Yang

Contents

1	Data and Energy Integrated Communication Networks:	
	An Overview	1
1.1	Energy Dilemma for Electronic Devices	1
1.2	Near-Field Wireless Energy Transfer	2
1.3	RF Signal Based Wireless Energy Transfer	3
1.4	WET Versus WIT in the RF Spectral Band	5
1.5	Ubiquitous Architecture of the DEIN	7
	1.5.1 Heterogeneous Infrastructure	7
	1.5.2 Heterogeneous User Equipment	8
	1.5.3 Heterogeneous Techniques for WIT and WET	10
	References	11
2	Fundamental of Integrated WET and WIT	15
2.1	Information Theoretical Essence	16
	2.1.1 Discrete-Input-Discrete-Output Memoryless Channel	16
	2.1.2 Continuous-Input-Continuous-Output Memoryless Channel	21
2.2	Transceiver Architecture of DEIN Devices	23
2.3	Signal Splitter Based Receiver Architecture	26
	2.3.1 Ideal Receiver Architecture	26
	2.3.2 Spatial Splitting Based Receiver	26
	2.3.3 Power Splitting Based Receiver	28
	2.3.4 Time Switching Based Receiver	30
	2.3.5 Rate-Energy Region	32
2.4	Summary	33
	References	34

3	Throughput Maximization and Fairness Assurance in Data and Energy Integrated Communication Networks	35
3.1	Introduction	36
3.2	System Model	37
3.2.1	Structure of the TDMA Aided Operating Cycle	38
3.2.2	Channel Model	40
3.2.3	Throughput of the Downlink Transmission	41
3.2.4	Throughput of the Uplink Transmission	41
3.3	Sum-Throughput Maximisation	42
3.4	Fair-Throughput Maximisation	46
3.5	Numerical Results	48
3.6	Summary	53
	References	53
4	Joint Time Allocation and User Scheduling in a Full-Duplex Aided Multi-user DEIN	55
4.1	Introduction	56
4.2	Preliminary	57
4.2.1	Wireless Powered Communication Network	57
4.2.2	Full-Duplex	58
4.3	System Model	59
4.3.1	Network Model	59
4.3.2	Frame Structure	60
4.3.3	The Downlink WET and Uplink WIT	61
4.4	Problem Formulation	63
4.4.1	Sum-Throughput Maximisation	63
4.4.2	Iterative Algorithm	63
4.5	Performance Evaluation	66
4.6	Summary	69
	References	69
5	Conclusions and Open Challenges	71
5.1	Conclusions	71
5.2	Open Challenges	73
5.2.1	Efficiency Enhancement of WET	73
5.2.2	Efficient Energy Storage	73
5.2.3	Heterogeneous Internet of Energy	74
5.2.4	Information Theoretic WET Capacity	75
5.2.5	Interference Cancellation and Signal Decoupling	75
5.2.6	Socially Aware Placement of DEIN Stations	76
5.2.7	DEIN Aided Mobile Cloud Computing	76
	Index	77

Acronyms

AC	Alternative Current
AWGN	Additive White Gaussian Noise
CDF	Cumulative Distribution Function
CDMA	Code Division Multiple Access
CICO-MC	Continuous Input Continuous Output Memoryless Channel
DC	Direct Current
DEIN	Data and Energy Integrated communication Network
DIDO-MC	Discrete Input Discrete Output Memoryless Channel
FDD	Frequency Division Duplex
H-BS	Hybrid Base Station
IoT	Internet of Things
KKT	Karush–Kuhn–Tucker
LPF	Low-Pass Filter
MAC	Medium Access Control
MIMO	Multiple Input Multiple Output
MISO	Multiple Input Single Output
mmW	millimetre Wave
NASA	National Aeronautics and Space Administration
NOMA	Non-Orthogonal Multiple Access
NSFC	National Natural Science Foundation of China
OFDMA	Orthogonal Frequency Division Multiple Access
PAPR	Peak to Average Power Ratio
PS	Power Splitting
PSK	Phase Shift Keying
QAM	Quadrature Amplitude Modulation
QoS	Quality of Service
RF	Radio Frequency
SCMA	Sparse Code Multiple Access
SER	Symbol Error Ratio
SIMO	Single-Input-Multiple-Output

SISO	Single-Input-Single-Output
SS	Spatial Splitting
SVD	Singular Value Decomposition
SWIPT	Simultaneous Wireless Information and Power Transfer
TDD	Time Division Duplex
TDMA	Time Division Multiple Access
TS	Time Switching
UE	User Equipment
UESTC	University of Electronic Science and Technology of China
WET	Wireless Energy Transfer
WIT	Wireless Information Transfer
WPCN	Wireless Powered Communication Network
5G	Fifth Generation