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Localization in Underwater Sensor Networks



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Preface

The ocean covers 70.8% of the Earth's surface, and it plays a significant role in supporting the system of life on Earth. Nevertheless, more than 80% of the ocean's volume remains unmapped, unobserved, and unexplored. With regard to this, underwater sensor networks (USNs), which incorporate ubiquitous computation, efficient communication, and reliable control, have emerged as a promising solution to understand and explore the ocean. The deployment of USNs can enhance the monitoring capacity for various applications such as intrusion surveillance, marine resource protection, navigation, geographic mapping, and petroleum exploration. In order to support these applications, accurate location information of sensor nodes is required for correctly analyzing and interpreting the sampled data. However, the openness and weak communication characteristics of USNs make underwater localization much more challenging as compared with the terrestrial sensor networks.

In this book, we focus on the localization problem of USNs with consideration of the unique characteristics of USNs. The localization problem is of great necessary and importance since fundamental guidance on design and analysis on the localization of USNs is very limited at present. We first introduce the network architecture and briefly review the prior arts in localization of USNs. Then, we consider the asynchronous clock and node mobility during the localization procedure, through which a mobility prediction-based least squares estimator is developed to seek the locations of sensor nodes. Note that the first-order linearization is required for least squares estimator to calculate the Jacobian matrix; however, it can introduce large model errors. In view of this, we further design a consensus-based unscented Kalman filtering (UKF) localization estimator to relax the linearization requirement and improve the localization accuracy. In addition, we also employ the reinforcement learning (RL) to relax the linearization requirement and avoid the local minimum during the least squares-based localization procedure, such that an RL-based localization algorithm is provided. Besides that, we investigate the privacy-preserving localization issue for USNs. To this end, three privacypreserving localization protocols are designed to hide the position information of reference nodes. Accordingly, the least squares and deep reinforcement learning (DRL) based localization estimators are developed, respectively, to jointly achieve vi Preface

privacy preservation, asynchronous localization, and stratification compensation for USNs. Finally, rich implications from the book provide guidance on the design for future localization schemes on USNs.

The results in this book reveal from the system perspective that the underwater localization accuracy is closely related to the communication protocol and optimization estimator. Researchers, scientists, and engineers in the field of USNs can benefit a lot from this book. As such, the valuable knowledge, useful methods, and practical algorithms can provide the guidance on understanding and exploring the ocean. To use this book for underwater applications, knowledge of wireless communication and signal processing is needed.

The book is organized as follows.

Chapter 1 provides the network architecture of USNs and briefly reviews the prior arts in localization of USNs. Besides that, the weak communication characteristics of USNs, including asynchronous clock, stratification effect, and node mobility, are also summarized in this chapter.

Chapter 2 considers the asynchronous clock and node mobility. A hybrid network architecture including autonomous underwater vehicles as well as active and passive sensor nodes is constructed. Then, an asynchronous localization solution with mobility prediction is developed for USNs, where iterative least squares estimators are conducted to seek the position information.

Chapter 3 presents a consensus-based UKF localization algorithm. Compared with the results in Chap. 2, the stratification effect is incorporated into the developed localization protocol in this chapter, and more importantly, the model error can be significantly reduced since the first-order linearization is not required by the localization algorithm in Chap. 3.

Chapter 4 covers an RL-based localization algorithm for USNs in weak communication channel. Note that the least squares-related localization estimators are adopted in Chaps. 2 and 3. However, the least squares-related estimators can easily fall into local minimum. In view of this, Chap. 4 employs the RL to seek the global optimization localization solution.

Chapters 2–4 assume the monitoring area is safe and the position privacy is ignored. However, USNs are usually deployed in open environment, and it is necessary to utilize the information-hiding technology to develop a privacy-preserving localization protocol for USNs. In view of this, Chap. 5 presents a privacy-preserving solution for the asynchronous localization of USNs, in which the asynchronous clock and node mobility are also considered.

Chapter 6 further considers the stratification effect and the forging attack in underwater environment, through which a privacy-preserving localization estimator is developed for USNs. It is worth mentioning that the malicious attacks can be detected and the straight-line localization bias can be compensated in this chapter, which are not available in Chap. 5.

Chapters 5–6 employ the least squares-based estimators to seek the position information of sensor nodes, which can easily fall into local minimum. In order to solve this issue, Chap. 7 develops DRL-based privacy-preserving localization protocol and estimator for USNs. Per knowledge of the authors, this is the first work

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that incorporates DRL and stratification compensation into the privacy-preservation localization of USNs.

Chapter 8 provides the future research direction on the localization of USNs. We have tried to provide complete instructions for the underwater localization and meanwhile share insights into the underwater localization from the system perspective. We hope this book has reached our goal.

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Qinhuangdao, China Qinhuangdao, China Qinhuangdao, China Shanghai, China July 2021 Jing Yan Haiyan Zhao Yuan Meng Xinping Guan

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Acronyms

AOA

AUVs Autonomous underwater vehicles **CRLB** Cramér-Rao lower bound DRL Deep reinforcement learning **DVL** Doppler velocity log **FOG** Fiber optic gyroscope **GPS** Global positioning system **HAPs** High-altitude platforms LBL Long-baseline LS Least squares ML Maximum likelihood **PPDP** Privacy-preserving diagonal product **PPS** Privacy-preserving summation RF Radio frequency RLReinforcement learning **RMSE** Root mean square error RSS Received signal strength **SBL** Short-baseline **TDOA** Time difference of arrival TOA Time of arrival **TOF** Time of flight **UAVs** Unmanned aerial vehicles UKF Unscented Kalman filtering **USNs** Underwater sensor networks UWB Ultra-wideband

Angle of arrival

Symbols

$\mathcal R$	Field of real numbers
\mathcal{R}^n	<i>n</i> -Dimensional real Euclidean space
$\mathcal{R}^{n imes m}$	Space of $n \times m$ real matrices
I	Identity matrix
A	System matrix
\mathbf{A}^{-1}	Inverse of matrix A
\mathbf{A}^{T}	Transpose of matrix A
$\operatorname{argmin} f$	Value of the variable that minimizes function f
$\operatorname{argmax} f$	Value of the variable that maximizes function f
$tr(\mathbf{A})$	Trace of matrix A
rank(A)	Rank of matrix A
$\ \cdot\ $	Euclidean norm
∇f	The gradient of function f
A	For all
€	Belong to
\sum	Sum
$\mathbf{E}\{\cdot\}$	Mathematical expectation operator
$\mathbf{var}\{\cdot\}$	Mathematical variance operator
$(\mathbf{A})_{s}$	The sth column of matrix A
$L_f \mathbf{A}$	Lie derivative of \mathbf{A} to f
[.]	Ceiling function
[·]	Floor function
ϕ	Empty set
$diag\{\cdot\}$	Diagonal matrix